

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 2

Complete if Known

<i>JO</i>	<i>10/10/2005</i>	<i>1</i>	<i>of</i>	<i>2</i>
Application Number	09/398,665			
Filing Date	September 17, 1999			
First Named Inventor	GINTER et al.			
Art Unit	2132			
Examiner Name	Justin T. DARROW			
Attorney Docket Number	07451.0005-01000			

JUN 10 2005

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS

Class / Subclass

Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁴
<i>JO</i>		Country Code ¹ Number ² Kind Code ³ (if known)				
<i>JO</i>		JP 01 248891 A	10-04-1989		H04N 7/167	Abstract only
<i>JO</i>		JP 01 296363 A	11-29-1989		G06F 12/14	Abstract only
<i>JO</i>		JP 01 68853 A	03-14-1989		G06F 12/06	Abstract only
<i>JO</i>		JP 04 117548 A	04-17-1992		G06F 15/00	Abstract only
<i>JO</i>		JP 04 504794	08-20-1992		G06F 9/06	Abstract only
<i>JO</i>		JP 05 173892 A	07-13-1993		G06F 12/14	Abstract only
<i>JO</i>		JP 05 258463 A	10-08-1993		G11B 20/10	Abstract only
<i>JO</i>		JP 06 152585 A	05-31-1994		H04L 9/00	Abstract only
<i>JO</i>		JP 06 161719 A	06-10-1994		G06F 9/06	Abstract only
<i>JO</i>		JP 06 250924 A	09-09-1994		G06F 12/08	Abstract only
<i>JO</i>		JP 06 501120 T	01-27-1994		G06F 13/00	Abstract only
<i>JO</i>		JP 07 319681 A	12-08-1995		G06F 9/06	Abstract only
<i>JO</i>		JP 61 121145 A	06-09-1986		G06F 12/14	Abstract only
<i>JO</i>		JP 63 129564 A	06-01-1988		G11B 20/10	Abstract only
<i>JO</i>		JP 63 289646 A	11-28-1988		G06F 9/44	Abstract only

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
<i>JO</i>	C1	"Information Society Facing a Turning Point, Information Flood, How to Stand Against Flood of Copies," <i>Nikkei Byte</i> , 92:316-319, 1991.	Unavailable
<i>JO</i>	C2	GARRETT et al., "Toward an electronic Copyright Management System," <i>J. of the Amer. Soc. for Info.</i> , 44(8):468-473, 1993.	Yes
<i>JO</i>	C3	KOZUKA et al., "Electronic Magazine Editing Software for 3DO," <i>National Technical Report</i> , Matsushita Electric Industrial Co., Ltd., 40(6):88-97, 1994.	Abstract only
<i>JO</i>	C4	ROZENBLIT, Moshe, "Secure Software Distribution," <i>IEEE Network Operations and Management Symposium</i> , 2:486-496, 1994.	Yes
<i>JO</i>	C5	SEKI et al., "A Proposal for New Software Distribution System Using a Secret Code," <i>Research Report of Information Processing Societies</i> , 93(64):19-28, 1993.	Abstract only

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3

2

of

2

Complete if Known

<i>RECEIVED JUN 10 2005 PTO-1449A</i>	Application Number	09/398,665
	Filing Date	September 17, 1999
	First Named Inventor	GINTER et al.
	Art Unit	2132
	Examiner Name	Justin T. DARROW
	Attorney Docket Number	07451.0005-01000

JUN 10 2005

NON PATENT LITERATURE DOCUMENTS

C6	TERADA, Minoru, "Exhausting Software," <i>bit, Kyoritsu Shuppan Co., Ltd.</i> , 26(10):12-18, 1994.	Unavailable
C7	TORII et al., "System Architecture for Super Distribution," <i>Technical Research Report of Institute of Electronics, Information and Communication Engineers</i> , 94(240):59-66, 1994.	Abstract only
C8	UEKI et al., "Accounting Processing In Right Management Mechanism for Super Distribution," <i>Study Report of Information Processing Societies</i> , 90(1):1-10, 1990.	Abstract only

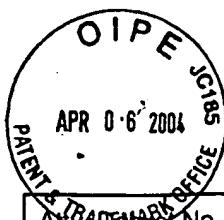
Examiner Signature

Justin Darrow

Date Considered

06/24/2005

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

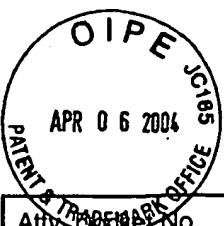


OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appln. No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
JD	3,573,747 A	04/06/71	Adams, et al.	340	172.5	02/24/1969
JD	3,790,700 A	02/05/74	Callais, et al.	178	5.1	12/17/1971
JD	3,806,874 A	04/21/74	Ehrat	340	149R	04/10/1973
JD	3,845,391 A	10/29/74	Crosby	325	64	07/15/1971
JD	3,946,200 A	03/23/76	Juodikis	219	499	02/24/1975
JD	3,996,449 A	12/07/76	Attanasio et al.	235	RECEIVED	02/25/1975
JD	4,020,326 A	04/26/77	Coulthurst	235	617R	108/2004/1975
JD	4,104,721 A	08/01/78	Markstein et al.	364	200	Technology Center 2100
JD	4,162,483 A	07/24/79	Entenman	340	147R	04/01/1977
JD	4,183,085 A	01/08/80	Roberts et al.	364	200	10/21/1977
JD	4,246,638 A	01/20/81	Thomas	364	200	09/14/1978
JD	4,259,720 A	03/31/81	Campbell	364	200	01/09/1978
JD	4,278,837 A	07/14/81	Best	178	22.09	06/04/1978
JD	4,321,672 A	03/23/82	Braun et al.	364	408	11/26/1978
JD	4,405,829 A	09/20/83	Rivest et al.	178	22.1	12/14/1977
JD	4,442,484 A	04/10/84	Childs, Jr et al.	364	200	10/14/1980
JD	4,471,216 A	09/11/84	Herve	235	380	10/27/1980
JD	4,523,271 A	06/11/85	Levien	364	200	06/22/1982
JD	4,525,599 A	06/25/85	Curran et al.	178	22.08	05/21/1982
JD	4,529,870 A	07/16/85	Chaum	235	380	06/25/1982
JD	4,562,305 A	12/31/85	Gaffney, Jr.	178	22.08	12/22/1982
JD	4,573,119 A	02/25/86	Westheimer et al.	364	200	07/11/1983
JD	4,578,530 A	03/25/86	Zeidler	178	22.09	12/07/1983
JD	4,584,639 A	04/22/86	Hardy	364	200	12/23/1983
JD	4,590,552 A	05/20/86	Guttag et al.	364	200	06/30/1982
JD	4,593,183 A	06/03/86	Fukatsu	235	379	05/04/1983
JD	4,598,288 A	07/01/86	Yarbrough et al.	340	P25.34	12/20/1983
JD	4,599,489 A	07/08/86	Cargile	178	22.08	02/22/1984

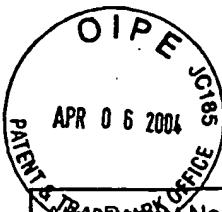


OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Atty. No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
JP	4,609,777 A	09/02/86	Cargile	178	22-08	12/23/1985
JP	4,609,985 A	09/02/86	Dozier	364	200	12/30/1982
JP	4,621,321 A	11/04/86	Boebert et al.	364	200	02/16/1984
JP	4,621,334 A	11/04/86	Garcia	364	550	08/26/1983
JP	4,622,222 A	11/11/86	Horváth et al.	424	09	12/14/1982
JP	4,658,093 A	04-14-87	Hellman	380	25	07-11-83
JP	4,672,605 A	06/09/87	Hustig et al.	370	76	03/20/1984
JP	4,677,552 A	06/30/87	Sibley, Jr.	364	408	10/05/1984
JP	4,683,968 A	08/04/87	Appelbaum et al.	380	4	09/03/1985
JP	4,700,296 A	10/13/87	Palmer, Jr. et al.	364	RECEIVED APR 17 1985	04/17/1985
JP	4,727,550 A	02/23/88	Chang et al.	372	3 APR	09/20/1988
JP	4,780,821 A	10/25/88	Crossley	364	200	07/26/1986
JP	4,796,220 A	01/03/89	Wolfe	364	900	Technology Center 2100 12/15/1986
JP	4,798,209 A	01/27/89	Klingenbeck, et al.	128	653	01/09/1987
JP	4,816,655 A	03/28/89	Musyck et al.	275	380	12/09/1986
JP	4,817,140 A	03/28/89	Chandra, et al.	380	4	11/05/1986
JP	4,827,508 A	05/02/89	Shear	380	4	10/14/1985
JP	4,864,616 A	09/05/89	Pond et al.	380	25	10/15/1987
JP	4,866,769 A	09/12/89	Karp	380	4	08/05/1987
JP	4,868,736 A	09/19/89	Walker	364	200	09/10/1987
JP	4,888,798 A	12/19/89	Earnest	380	4	08/07/1986
JP	4,893,248 A	01/09/90	Pitts et al.	364	46401	02/06/1987
JP	4,893,332 A	01/09/90	Brown	379	97	04/28/1988
JP	4,919,545 A	04/24/90	Yu	380	25	12/22/1988
JP	4,926,480 A	05/15/90	Chaum	380	23	05/24/1988
JP	4,937,863 A	06/26/90	Robert et al.	380	4	03/07/1988
JP	4,941,175 A	07/10/90	Enescu et al.	380	4	02/24/1989
JP	4,953,209 A	08/28/90	Ryder, Sr. et al.	380	23	10/31/1989



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appl. No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
pp	4,962,533 A	10/09/90	Krueger et al.	300	25	02/17/1990
pp	4,975,647 A	12/04/90	Downer et al.	324	425	11/01/1990
pp	4,975,878 A	12/04/90	Boddu et al.	365	109-07	09/18/1990
pp	4,995,082 A	02/19/91	Schnorr	380	23	02/23/1991
pp	5,014,234 A	05/07/91	Edwards, Jr.	364	900	08/25/1991
pp	5,022,080 A	06/04/91	Durst et al.	380	23	04/16/1990
pp	5,027,397 A	06/25/91	Double et al.	380	4	09/12/1989
pp	5,032,979 A	07/16/91	Hecht et al.	364	200	06/22/1990
pp	5,058,162 A	10/15/91	Santon et al.	380	RECEIVED 12-10-91	07/07/1990
pp	5,065,429 A	11/12/91	Lang	380	240	3/20/91/1990
pp	5,079,648 A	01/07/92	Maufe	360	76	04/24/1990
pp	5,103,459 A	04/07/92	Gilhouse et al.	375	Technology Center 2100	06/25/1990
pp	5,109,413 A	04/28/92	Comerford et al.	380	4	11/28/1989
pp	5,113,518 A	05/12/92	Durst, Jr. et al.	395	550	06/03/1990
pp	5,126,936 A	06/30/92	Champion, et al.	364	400	09/01/1990
pp	5,129,084 A	07/07/92	Kelly, Jr. et al.	395	650	06/29/1990
pp	5,138,712 A	08/11/92	Corbin	395	700	10/02/1990
pp	5,150,407 A	09/22/92	Chan	380	4	12/16/1991
pp	5,164,988 A	11/17/92	Matyas et al.	380	25	10/31/1991
pp	5,187,787 A	2/1/1993	Skeen et al.	395	800	04/27/1990
pp	5,191,573 A	03/02/93	Hair	369	84	09/18/1990
pp	5,199,066 A	03/30/93	Logan	380	4	04/10/1990
pp	5,199,074 A	03/30/93	Thor	380	50	01/06/1992
pp	5,204,897 A	04/20/93	Wyman	380	4	07/14/1992
pp	5,206,951 A	04/27/93	Khoyi et al.	395	650	04/03/1991
pp	5,218,605 A	06/08/93	Low et al.	371	16.1	01/31/1990
pp	5,222,134 A	06/22/93	Waite, et al.	380	4	04/09/1991
pp	5,227,797 A	07/13/93	Murphy	342	22	05/23/1991



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appl. No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
J	5,241,671 A	08/31/93	Reed, et al.	395	600	10/26/1993
J	5,251,294 A	10/05/93	Abelow	395	155	02/07/1990
J	5,257,369 A	10/1/1993	Skeen et al.	395	200	04/20/1993
J	5,260,999 A	11/09/93	Wyman	390	4	08/15/1992
J	5,263,157 A	11/16/93	Janis	395	600	02/15/1990
J	5,263,165 A	11/16/93	Janis	395	725	02/15/1990
J	5,276,901 A	01/04/94	Howell et al.	395	800	12/16/1991
J	5,283,830 A	02/01/94	Hinsley et al.	390	25	09/30/1992
J	5,287,407 A	02/15/94	Holmes	390	RECEIVED	02/15/1991
J	5,291,598 A	03/01/94	Grundy	395	600 APR	05/20/04/1992
J	5,301,326 A	04/05/94	Linnett et al.	395	700	08/24/1991
J	5,319,735 A	06/07/94	Preuss et al.	395	214 Technology Center 2100	12/17/1991
J	5,325,524 A	06/28/94	Black et al.	395	600	04/06/1992
J	5,335,169 A	08/02/94	Chong	364	408	01/27/1992
J	5,335,346 A	08/02/94	Fabbio	395	600	12/12/1991
J	5,337,357 A	08/09/94	Chou et al.	390	4	07/27/1993
J	5,343,526 A	08/30/94	Lassers	390	4	10/30/1992
J	5,349,642 A	09/20/94	Kingdon	390	25	11/03/1992
J	5,355,474 A	10/11/94	Thuraisingham, et al.	395	600	08/27/1991
J	5,359,721 A	10/25/94	Kempf et al.	395	425	12/10/1991
J	5,361,359 A	11/01/94	Tajalli et al.	395	700	09/31/1992
J	5,365,587 A	11/15/94	Campbell, et al.	390	25	06/30/1993
J	5,367,621 A	11/22/94	Cohen et al.	395	154	08/06/1991
J	5,369,702 A	11/29/94	Shanton	390	4	10/18/1993
J	5,369,707 A	11/29/94	Follendore, III	390	25	01/27/1993
J	5,371,792 A	12/06/94	Asai et al.	390	3	12/21/1993
J	5,373,440 A	12/13/94	Cohen et al.	364	410	06/04/1992
J	5,383,113 A	01/17/95	Kight et al.	364	401	07/25/1991



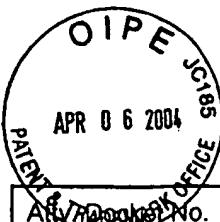
OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appl. No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
JP	5,390,297 A	02/14/95	Barber et al.	395	200	11/10/1987
JP	5,412,717 A	05/02/95	Fischer	390	4	05/15/1992
JP	5,418,713 A	05/23/95	Allen	364	403	08/05/1993
JP	5,420,927 A	05/30/95	Michali	390	23	02/01/1994
JP	5,421,006 A	05/30/95	Jablon et al.	395	575	04/20/1994
JP	5,432,851 A	07/11/95	Scheidt et al.	390	25	10/21/1993
JP	5,432,928 A	07/11/95	Sherman	395	600	11/14/1992
JP	5,438,508 A	08/01/95	Wyman	364	404	09/12/1994
JP	5,440,634 A	08/08/95	Jones et al.	390	2APR	13/09/6/1993
JP	5,450,490 A	09/12/95	Jensen et al.	390	Technology Center 2100	1994
JP	5,457,747 A	10/10/95	Drexler et al.	390	24	06/14/1994
JP	5,458,494 A	10/17/95	Krohn et al.	434	336	08/23/1993
JP	5,490,216 A	02/06/96	Ricahrdson III	390	4	09/21/1993
JP	5,524,933 A	06/11/96	Kunt et al.	293	67	01/28/1994
JP	5,530,235 A	06-25-96	Stefik, et al.	235	492	02-16-95
JP	5,534,855 A	07/09/96	Shockley et al.	340	825,300	12/15/1994
JP	5,534,975 A	07-09-96	Stefik, et al.	355	202	05-26-95
JP	5,535,322 A	07/09/96	Hecht	395	155	10/27/1992
JP	5,557,798 A	09/17/96	Skeen et al.	395	650	12/21/1994
JP	5,574,962 A	11/12/96	Fardeau et al.	455	2	12/20/1994
JP	5,577,209 A	11/19/96	Boyle et al.	395	200.06	07/05/1994
JP	5,581,800 A	12/03/96	Fardeau et al.	455	2	06/07/1995
JP	5,603,031 A	02/11/97	White et al.	395	683	07/08/1993
JP	5,625,693 A	04/29/97	Rohatgi et al.	390	23	07/07/1995
JP	5,629,770 A	05/13/97	Brassil et al.	358	261.1	09/3/11/1995
JP	5,629,980 A	05-13-97	Stefik, et al.	380	4	11-23-94
JP	5,634,012 A	05/27/97	Stefik et al.	395	239	11/12/1994
JP	5,636,276 A	06/03/97	Brugger et al.	390	4	04/19/1995

RECEIVED



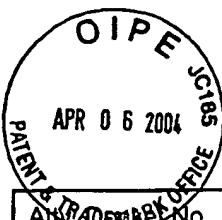
OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appn. No.	07451.0005-01000	Appn. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
JP	5,638,443 A	06-10-97	Stefik, et al.	380	4	11-23-94
JP	5,649,099 A	07/15/97	Theimer et al.	395	107.01	06/04/1993
JP	5,671,279 A	09/23/97	Elgamal	380	23	11/13/1995
JP	5,678,170 A	10/14/97	Grube, et al.	455	2	10/05/1994
JP	5,689,565 A	11/18/97	Spies et al.	380	25	06/29/1995
JP	5,689,566 A	11/18/97	Nguyen	380	25	10/24/1995
JP	5,692,047 A	11/25/97	McManis	380	4	12/08/1995
JP	5,699,427 A	12/16/97	Chow et al.	380	3	11/11/1995
JP	5,715,314 A	02/03/98	Payne et al.	380	24	10/24/1994
JP	5,715,403 A	02/03/98	Stefik	380	24	APR 13 2004 11/23/1994
JP	5,721,788 A	02/24/98	Powell, et al.	382	Technology Center 2100	11/23/1992
JP	5,721,788 A	02/24/98	Powell et al.	382	100	07/21/1992
JP	5,724,424 A	03/03/98	Gifford	380	24	11/29/1995
JP	5,724,425 A	03/03/98	Chang, et al.	380	25	06/01/1994
JP	5,732,398 A	03/24/98	Tagawa	705	5	11/09/1995
JP	5,745,678 A	4/1/1998	Herzberg et al.	395	186	08/18/1997
JP	5,765,152 A	06/09/98	Erickson	707	9	10/13/1995
JP	5,774,872 A	06/30/98	Golden, et al.	705	19	09/23/1996
JP	5,787,334 A	07/28/98	Fardeau et al.	455	2	08/27/1996
JP	5,802,590 A	09/01/98	Draves	711	164	12/13/1994
JP	5,845,281 A	12/01/98	Benson et al.	707	9	01/31/1996
JP	5,892,899 A	04/06/99	Aucsmith et al.	385	186	06/13/1996
JP	5,892,900 A	4/1/1999	Ginter et al.	395	106	08/30/1996
JP	5,910,987 A	06/08/99	Ginter et al.	380	24	12/04/1996
JP	5,915,019 A	6/1/1999	Ginter et al.	380	4	01/08/1997
JP	5,917,912 A	6/1/1999	Ginter et al.	380	24	01/08/1997
JP	5,940,504 A	08/17/99	Griswold	380	4	06/29/1992
JP	5,940,505 A	8/1/1999	Kanamaru	380	4	07/30/1996

RECEIVED



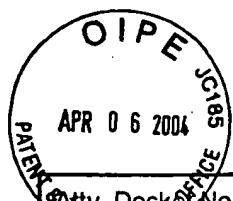
OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appl. No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
JP	5,956,408 A	09/21/99	Arnold	380	49	02/12/1998
MM	5,966,440 A	10/12/99	Hair	380	4	06/06/1995
JP	5,978,484 A	11/02/99	Apperson et al.	380	25	04/25/1996
JP	5,999,949 A	12/99	Crandall	707	532	03/14/1997
JP	6,009,170 A	12/1/1999	Sako et al.	380	3	09/08/1996
JP	6,016,393 A	01/18/00	White et al.	395	683	02/10/1997
JP	6,102,965 A	08/00	Dye et al.	717	1	08/21/1997
JP	6,135,646 A	10/24/00	Kahn et al.	395	200,47	02/28/1995
JP	6,253,193 B1	6/1/2001	Ginter et al.	705	RECEIVED	1998
JP	6,363,488 B1	3/02	Ginter et al.	713	2 APR 06/04	1989
JP	6,389,402 B1	5/02	Ginter et al.	705	51	06/09/1998
JP	6,427,140 B1	7/02	Ginter et al.	705	50	Technology Center 2100 09/03/1999
MM	6,449,367 B2	9/02	Van Wie et al.	380	232	02/23/2001
JP	6,618,484 B2	9/03	Van Wie et al.	380	232	07/05/2002
JP	6,640,304 B2	10/03	Ginter et al.	713	193	03/25/2002
JP	6,658,568 B1	12/03	Ginter et al.	713	193	10/26/1999
JP	6,668,325	12/03	Collberg et al.	713	194	06/08/1998

Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
JP	AU-A-36815/97	2/19/1998	Australia	606F	12/14	
MM	AU-A-36816/97	2/19/1998	Australia	606F	12/14	
JP	AU-A-36840/97	2/19/1998	Australia	604L	9132	
JP	DE 3 803 982 A1	01/25/90	Germany	611B	23/30	
JP	DE 29 43 436 A1	10/26/79	Germany	608K	19/06	
JP	EP 0 398 645 B1	11/1/1990	EPO	606F	17/30	
JP	EP 0 367 700 A2	05/09/90	EPO	606F	11/00	
JP	EP 0 567 800 A1	11/03/93	EPO	606F	11/00	
JP	EP 0 653 695 A2	05/17/95	EPO	606F	11/00	



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Applicant Docket No. 07451.0005-01000		Appln. No. 09/398,665
Applicant GINTER et al.		
Filing Date September 17, 1999	Group: 2132	

Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
P	EP 0 715 243 A1	06/05/96	EPO	G06F	1/00	
P	EP 0 715 244 A1	06/05/96	EPO	G06F	1/00	
P	EP 0 715 245 A1	06/05/96	EPO	G06F	1/00	
P	EP 0 715 246 A1	06/05/96	EPO	G06F	1/00	
P	EP 0 715 247 A1	06/05/96	EPO	G06F	1/00	
P	EP 0 913 757 A2	5/1/1999	EPO	G06F	1/00	
P	JP 06-225059 A	12/08/94	Japan	H04N	1/00	
P	WO 93/01550 A1	01/21/93	PCT	G06F	11/34	
P	WO 96/27155 A2	09/06/96	PCT	G06F	RECEIVED	
P	WO 97/43761 A2	11/1/1997	PCT	G11B	3/00	
P	WO 98/09209 A1	3/1/1998	PCT	G06F	1/00	
P	WO 98/10381 A1	3/1/1998	PCT	G07F	7/00	Technology Center 2100
P	WO 98/37481 A1	8/1/1998	PCT	G06F	1/00	
P	WO 98/45768 A1	10/1/1998	PCT	G06F	9/44	
P	WO 99/01815 A1	1/1/1999	PCT	G06F	9/44	
P	WO 99/24928 A2	5/1/1999	PCT	G06F	17/60	
P	WO 99/48296 A1	9/1/1999	PCT	H04N	7/167	

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
P	Abadi, M. et al., "Authentication and Delegation with Smart-cards," Technical Report 67, DEC Systems Research Center, October 1990, available at < http://citeseer.nj.nec.com/article/abadi92authentication.html >, 22 pages.
P	Abadi, M., et al., "A Calculus for Access Control in Distributed Systems", Digital Equipment Corporation, Feb. 28, 1991, revised August 28, 1991.
P	Abrams, Marshall D, "Renewed Understanding of Access Control Policies", Proceedings of the 16 th Computing Natioal Security Conference, 1993.
P	Aharonian, G., "Software Patents - Relative Comparison of EPO/PTO/JPO Software Searching Capabilities," Source Translation & Optimization.
P	Anderson, R., "Why Cryptosystems Fail," University Computer Laboratory, Cambridge, Massachusetts, (undated), pp. 1-34.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Attala P. Decker, No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132 APR 13 2004

RECEIVED

Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
P	Antonelli et al, "Access Control in a Workstation-Based Distributed Computing Environment," CITI Technical Report 90-2 (July 17, 1990).
P	Arms, W.Y., "Key Concepts in the Architecture of the Digital Library," D-Lib Magazine, (Jul. 1995).
P	Atkins, D., et al., "The Magic Words are Squeamish Ossifrage," (undated), 15 pages.
P	Atkinson, R., "Security Architecture for the Internet Protocol," Network Working Group RFC 1825 (Aug. 1995).
P	Aucsmith, D., et al., "Common Data Security Architecture," Intel Architecture Lab, Presentation Material, January 22, 1996, pp. 1-16.
P	Aucsmith, David, "Tamper Resistant Software: An Implementation", IAL.
P	Avery, et al., "Recommender Systems for Evaluating Computer Messages," Communications of the ACM, pp. 88-89 (Mar. 1997).
P	Baker, R.H., <u>The Computer Security Handbook</u> , Tab Books, Inc., 1985.
P	Balbanovic, et al., "Content-based, Collaborative Recommendation, Communications of the ACM, pp. 66-72 (Mar. 1997).
P	Bartock, P.F., et al., "Guide to Securing Microsoft Windows NT Networks," National Security Agency, September 18, 2001, pp. 1-132..
P	Bellare, M., "iKP-A Family of Secure Electronic Payment Protocols," April 16, 1995, pp. 1-19.
P	Bellovin, S.M., "Encrypted Key Exchange: Password-Based Protocols Secure Against Dictionary Attacks," Proceedings of the IEEE Symposium on Research in Security and Privacy, Oakland, California, May 1992, 13 pages.
P	Bellovin, S.M., "There Be Dragons," AT&T Bell Laboratories, August 15, 1992, 16 pages.
P	Bender et al. "Techniques for Data Hiding," IBM Systems Journal, Vol. 35, Nos. 3&4 (1996).
P	Berghal et al., "Protecting Ownership Rights Through Digital Watermarking," IEEE Computing, Vol. 29, No. 7 (Jul. 1996).
P	Berners-Lee, T.J., et al., "Networked Information Services: The World-Wide Web," Computer Networks and ISDN Systems, 1992, pp. 454-459.
P	Bernstein et al., "Copyrights, Distribution Chains, Integrity, and Privacy: The Need for a Standards-Based Solution," Electronic Publishing Resources.
P	Bertino, Elisa, "Data Hiding and Security in Object-Oriented Databases," Dipartimento di Matematica, Universita di Genova, IEEE, 1992, pp.338-347.
P	Bickel, R., et al., "Guide to Securing Microsoft Windows XP," National Security Agency, October 30, 2002, pp. 1-129.
P	Birrell, Andrew, D., et al., "A Global Authentication Service Without Global Trust," Proceedings of the IEEE Symposium on Security and Privacy, Oakland, California, April 1986, pp. 1-11..



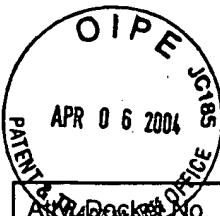
OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appl. No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132 APR 13 2004

RECEIVED

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) Technology Center 2100
JP	Bishop, M., "Anatomy of a Proactive Password Changer," Department of Mathematics and Computer Science, Dartmouth College, (undated), 15 pages.
JP	Bishop, M., "Privacy-Enhanced Electronic Mail," Privacy and Security research Group, IAB
JP	Blaze, M., "Key Management in an Encrypting File Systems," Proc. Summer 1994 USENIX Technical Conference (Jun. 1994).
JP	Blaze, Matt, "A Cryptographic File System for Unix," pre-print of paper for First ACM Conference on Computer and Communications Security, Fairfax, Virginia, Nov. 3-5, 1993, 8 pages.
JP	Blaze, Matt, et al., "Decentralized Trust Management" Proc. IEEE Conference on Security and Privacy, May 1996.
JP	Blaze, Matt, et al., "The Architecture and Implementaiton of Network Layer Security Under Unix", Columbia University and AT&T Bell Laboratories, 1994.
JP	Boisson, Jean-Francois, "1-Business Perspectives and Requirements, 2-The CITED Project: Keys and Knowledge," CITED 5469.
JP	Boisson, Jean-Francois, "How to CITEDise Application: Guidelines and Examples," CITED 5469.
JP	Boisson, Jean-Francois, "Management of Intellectual Property Rights in the Electronic Commerce: Textile Design Sales and Other Similar Initiatives," EURITIS.
JP	Boisson, Jean-Francois, "Software Components; Deliverable Trial Offer," CITED 5469.
JP	Boly, J.P., et al., "The ESPRIT Project CAFÉ: High Security Digital Payment Systems," ESCORICS 94, European Symposium on Research Computer Security, Springer-Verlas Berlin, 1994, pp. 217-230.
JP	Boone, J.V., et al., "The Start of Digital Revolution: SIGSALY Secure Digital Voice Communications in World War II," December 10, 2002.
JP	Borenstein, N., "MIME Extensions for Mail-Enabled Applications: Application/Safe-Tel and Multipart/Enabled-Mail," November 1993, 24 pages.
JP	Born, E, et al., "Discretionary Access Control by Means of Usage Conditions," Computers & Security, vol. 13, no. 5, 1994, pp. 437-450.
JP	Bos et al., "SmartCash: a Practical electronic payment System," Center for Mathematics and Computer Science, Report CS-R9035.
JP	Brands, S., "Untraceable Off-line Cash in Wallets with Observers," CWI, (undated), 17 pages.
JP	Brassil et al., "Electronic Marking and Identification Techniques to Discourage Document Copying," AT&T Bell Laboratories, Proc. Infocom 94, 1994.
JP	Breon, R., et al., "Microsoft Office 97 Executable Content Security Risks and Countermeasures," National Security Agency, December 20, 1999, pp. 1-44.
JP	Brickell, E.F., et al., "The SKIPJACK Algorithm," July 28, 1993, pp. 1-7.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Attorney Docket No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JB	Brin, Sergey, et al., "Copy Detection Mechanism for Digital Documents", Stanford University.
JP	Brockschmidt, K., "A Primer on Designing Custom Controls," Microsoft Systems Journal, March/April 1992, pp. 87-101.
JP	Brockschmidt, K., "Implementing OLE 2.0, Part III: Uniform Data Transfer with Data Objects," Microsoft Systems Journal, December 1993, pp. 47-77.
JP	Brockschmidt, K., "Introducing OLE 2.0, Part 1: Windows Objects and the Component Object Model," Microsoft Systems Journal, August 1993, pp. 15-23.
JP	Brockschmidt, K., "OLE 2.0 Part II: Implementing a Simple Windows Object Using Either C or C++," Microsoft Systems Journal, October 1993, pp. 45-62.
JP	Brockschmidt, Kraig, <u>Inside OLE 2</u> , Microsoft Press Programming Series, 1994.
JP	Brown, C.W., "Security for Minicomputers and Microcomputers," (undated), pp. 285-298.
JP	Brown, Patrick W., "Digital Signatures: Can They Be Accepted as Legal Signatures in EDI?," 1 st Conference on Computer and Communication Security, November 1993, pp. 86-92.
JP	Brumm, P., et al., <u>80386/80486 Assembly Language Programming</u> , Windcrest/McGraw-Hill, 1993.
JP	Brunnstein et al., "Intellectual Property Rights and new Technologies," Proceedings of the KnowRight '95 Conference (Aug. 1995).
JP	Bureau Van Dijk Management Report for Task 4.5: Feasibility Study of the Cited Agency, 1992-1993.
JP	Bureau Van Dijk: Gestion Des Contrats; 497C.C.C.E.: CITED (SUITE), Feb. 1993.
JP	Burns, Christopher, "AAP Draft: Local Access and Usage Controls", Association of American Publishers Report, April 13, 1995.
JP	Cabell, D., et al., "Software Protection," May 1985, pp. 35-37.
JP	Calas, C., "Distributed File System Over a Multilevel Secure Architecture Problems and Solutions," Computer Security, ESCORICS 94, Brighton, United Kingdom, November 7-9, 1994, pp. 281-297.
JP	Case, J., "A Simple Network Management Protocol (SNMP)," Network Working Group, May 1990, pp. 1-21.
JP	Castano, S. et al., <u>Database Security</u> , Addison-Wesley & Acm Press, 1995
JP	Chase, Chevy, M.D., "DiscStore (Electronic Publishing Resources 1991).
JP	Chaum et al., "Wallet Databases with Observers," CWI, Aarhus University, Advances in Cryptography, Proceedings of Crypto '92, pp. 89-105 (1992).
JP	Chaum, D., "Privacy and Social Protection in Electronic Payment Systems," Chapter 12, The Future of Money in the Information Age.
JP	Chaum, D., "Untraceable Electronic Cash," Extended Abstract, Center for Mathematics and Computer Science, 1988, pp. 319-327.

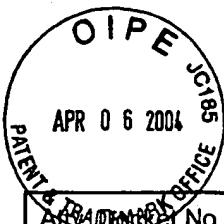


OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Att. / TRADEMARK No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Chaum, D., <u>Smart Card 2000</u> , Elsevier Science Publishers, 1991.
JP	Chaum, D.L., et al., "Implementing Capability-Based Protection Using Encryption," College of Engineering, University of California, Berkeley, July 17, 1978, 12 pages.
JP	Chaum, David, "Achieving Electronic Privacy," <u>Scientific American</u> , August 1992, pp. 96-101.
JP	Chaum, David, "Security Without Identification: Card Computers to Make Big Brother Obsolete," viewed on August 23, 2002 at < http://www.chaum.com/articles/Security_Wthout_Identification.html > pp. 1-24.
JP	Chaum, David, "Smart Card 2000," Selected Papers from the Second International Smart Card 2000 Conference, Oct. 4-6, 1989.
JP	"List of Articles," < www.chaum.com/articles/list-of-articles.htm >, as on August 23, 2002, 4 pages.
JP	Chor et al., "Tracing Traitors," <u>Crypto</u> 94, p. 257 (1994).
JP	Choudhury, A.K. et al., "Copyright Protection for Electronic Publishing Over Computer Networks," AT&T Bell Laboratories, Murray Hill, NJ, June 1994, 18 pages.
JP	Choy, D.M., et al., "A Digital Library System for Periodicals Distribution", May 1996.
JP	Cina Jr. et al., "ABYSS: A Basic Yorktown Security System PC Software Asset Protection Concepts," IBM Research Report No. RC 12401, IBM Thomas J. Watson Research Center (Dec. 18, 1986).
JP	Clark, Paul, C., et al., "BITS: A Smartcard Protected Operating System," <u>Communications of the ACM</u> , vol. 37, no. 11, November 1994, 66-94.
JP	Clarke et al., "Cryptography Issues in Plain Text," <u>Privacy Law and Policy Reporter</u> (1996).
JP	Coad, Peter, "Object-Oriented Patterns," <u>Communications of the ACM</u> , vol. 35, no. 9, September 1992, pp. 152-159.
JP	Cohen, F.B., <u>Protection and Security on the Information Superhighway</u> , John Wiley & Sons, Inc., 1995.
JP	Cook, S., "Net Results," <u>PC World</u> , December 1985, pp. 270-328.
JP	Corbato, F.J., et al., "Introduction and Overview of the Multics System," viewed on November 13, 2001 at < http://www.multicians.org/fjcc1.html > pp. 1-18.
JP	Cornish, Graham, "Copyright Management of Document Supply in an Electronic Age," <u>The CITED™ Solution, Interlending & Document Supply</u> , Vol. 21, No. 2, 1993, pp. 13-20.
JP	Cousins, Steve B. et al., "InterPay: Managing Multiple Payment Mechanisms in Digital Libraries."
JP	Coutrot, Francois, et al., "A Single FConditional Access System for Satellite-Cable and Terrestrial TV", <u>IEEE Transactions on Consumer Electronics</u> , Vol. 35, No. 3, Aug. 1989.
JP	Cox, B., "Superdistribution," <u>Wired</u> , September 1994, 2 pages.
JP	Cox, Benjamin et al., "NetBill Security and Transaction Protocol," Carnegie Mellon University.

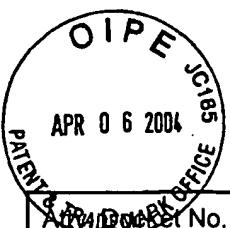


OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appl. No.	07451.0005-01000	Appn. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Cox, Brad, "What If There is a Silver Bullet and the Competition Gets it First?," Journal of Object-Oriented Programming, Jun. 1992.
JP	Crocker et al., "MIME Object Security Services," Network Working Group RFC 1848 (Oct. 1995).
JP	Curry, D.A., "UNIX System Security: <u>A Guide for Users and System Administrators</u> , Addison-Wesley Publishing Company, Inc., 1992.
JP	Curry, David A., "Improving the Security of Your Unix System," Final Report April 1990, pp. 1-74.
JP	Custer, H. <u>Inside Windows NT</u> , Microsoft Press, Redmond WA, 1993.
JP	Custer, H., <u>Inside the Windows NT File System</u> , Microsoft Press, 1994.
JP	Cybenko, G., et al., "Cognitive Hacking: A Battle for the Mind," Computer, August 2002, 0018-9162/02©2002 IEEE, pp. 50-56.
JP	Date, C.J., "An Introduction to Database Systems," 4 th . Ed., Vol. 1, Addison-Wesley Publishing Company, 1987.
JP	Davies, D. et al., Security for Computer Networks, 2nd ed., John Wiley & Sons, 1989, 22 introductory pages and pp. 1-377.
JP	Davies, D.W.; Price, W.L.; <u>Security for Computer Networks</u> , John Wiley & Sons, 1984.
JP	Davin, J., et al., "SNMP Administrative Model," Network Working Group, July 1992, pp. 1-22.
JP	Davis, D., et al., "Network Security via Private-Key Certificates," MIT Project Athena, (undated), pp. 1-4.
JP	Deering, S.E., "Host Extensions for IP Multicasting," Network Working Group, RFC 1112 (Aug. 1989).
JP	Denning, A., <u>OLE Controls Inside Out</u> , Microsoft Press, 1995.
JP	Denning, D. E., "Secure Personal Computing in an Insecure Network," Communications of the ACM, August 1979, Vol. 22, No. 8, pp. 476-482.
JP	Denning, D., <u>Cryptography and Data Security</u> , Addison-Wesley Publishing Company, Inc., 1982.
JP	Denning, Dorothy E.R., <u>Cryptography and Data Security</u> , Addison-Wesley Publishing Company, 1982, Reprinted with corrections, Jan. 1983.
JP	Denning, P.J., <u>Computer Under Attack: Intruders, Worms, and Viruses</u> , ACM Press, 1990.
JP	Deutsch, P., "GZIP File Format Specification Version 4.3," Network Working Group, May 1996, pp. 12.
JP	Diffie, et al., <u>Privacy on the Line: The Politics of Wiretapping and Encryption</u> , Massachusetts Institute of Technology, 1998.
JP	Diffie, Whitfield, "Authentication and Authenticated Key Exchanges", Sun Microsystems and Bell-Northern Research, March 6, 1992.

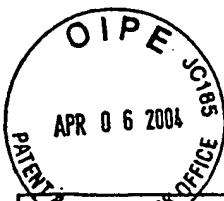


OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appl. No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Diffie, Whitfield, "The First Ten Years of Public-Key Cryptography", Proceedings of the IEEE, Vol. 76, No. 5, May 1988.
JP	Diffie, Whitfield, et al., "New Directions in Cryptography", Stanford University, 1976.
JP	DiLascia, Paul, "OLE Made Almost Easy: Creating Containers and Servers Using MFC 2.5," Microsoft Systems Journal, April 1994, pp. 13-33.
JL	Doster et al., "Third-Party Authentication in the Institutional File System," Center for Information Technology Integration.
JL	Dougherty, D., et al., <u>The Mosaic Handbook for the X Window System</u> , O' Reilly & Associates, 1994.
JL	Downs, D.D., et al., "Issues in Discretionary Access Control," Proceedings of the 1985 Symposium on Security and Privacy, April 22-24, 1985, Oakland, California, pp. 208-218.
JP	Dukach, S., "SNPP: A Simple Network Payment Protocol," MIT Laboratory for Computer Science, (undated), 7 pages.
JP	Eastlake III et al., "Randomness Recommendations for Security," Network Working Group RFC 1750, Dec. 1994.
JP	Eastlake III, D., "Physical Link Security Type of Service," Network Working Group RFC 1455 (May, 1993).
JL	Ebersol, Joseph, "Protecting Intellectual Property Rights on the Information Superhighways," March 1994.
JP	Eizenberg, G., "Contribution of Information Technology Security to Intellectual Property Protection," CERT-DERI.
JP	Epstein, J., "A Trusted X Window System Server for Trusted Mach," Usenix Association Proceedings, Mach Workshop, October 4-5, 1990, Burlington, Vermont, pp. 141-155.
JP	Erickson, John S., "A Copyright Management System for Network Interactive Multimedia", Proceedings of the 1995 Dartmouth Institute for Advanced Graduate Studies, 1995.
JP	Erickson, John S., "Rights Management Through Enhanced Attribution", Presented at INET 96 Proceedings, June 1996.
JL	Everett, David B., "Smart Card Tutorial-Part 1," Sep. 1992.
JL	Farmer, D., "The COPS Security Checker System," July 10, 1992.
JL	Feistel, H. "Cryptographic Coding for Data-Bank Privacy," IBM document RC 2827 (Mar. 18, 1970).
JL	Ferraiolo, D., et al., "Role-Based Access Control," Reprinted from the Proceedings of the 15 th National Computer Security Conference, 1992, pp. 1-11.
JL	Fine, T., et al., "Assuring Distributed Trusted Mach," Secure Computing Corporation, 1993, 13 pages.
JL	Finin et al., "A Language and Protocol to Support Intelligent Agent Interoperability," Proceedings of the CE & CALS, Washington '92 Conference (Apr. 1992).



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Att. Ref. No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Forcht, K.A., <u>Computer Security Management</u> , Boyd & Fraser Publishing Company, 1994.
JP	Franklin, M., et al., "An Overview of Secure Distribution Computing," March 24, 1992, pp. 1-46.
JP	Franz, M., "Technological Steps Toward a Software Component Industry," Institut fur Computersysteme, Zurich, Switzerland, (undated), 23 pages.
JP	Frederick, Keith P., "Certification and Accreditation Approach", Air Force Cryptologic Support Center (OL-FP).
JP	Fuchsberger et al., "Public-key Cryptography on Smart Cards," Information Security Group.
JP	Fugini, M., et al., "Security Management in Office Information Systems," Computer Security: A Global Challenge, 1984, pp. 487-498.
JP	Fugini, M.G., et al., "Authorization and Access Control in the Office-Net System," Computer Security in the Age of Information, 1989, pp. 147-162.
JP	Galvin et al., "Security Protocols for version 2 of the Simple Network Management Protocol (SNMPv2)," Network Working Group RFC 1446 (Apr. 1993).
JP	Galvin, J., et al., "SNMP Security Protocols," Network Working Group, July 1992, pp. 1-26.
JP	Gamble, Todd, "Implementing Execution Controls in Unix," Usenix Association, Proceedings of the Seventh Systems Administration Conference, November 1-5, 1993, Monterey, California, pp. 237-242.
JP	Garfinkel, S., <u>PGP: Pretty Good Privacy</u> , O'Reilly & Associates, Inc., (undated).
JP	Garfinkel, Simson, et al., <u>Practical UNIX Security</u> , O'Reilly & Associates, Inc., 1991.
JP	Gasser, M., et al., "The Digital Distributed System Security Architecture," Reprint from the Proceedings of 1989 National Computer Security Conference, 1989, pp. 1-13.
JP	Gaster, J. L., "Authors' Rights and Neighboring Rights in the Information Society," DG XV/E/4, European Commission.
JP	Gifford, D., et al., "The Cirrus Banking Network," Communications of the ACM, vol. 28, no. 4, August 1985, pp. 798-807.
JP	Gifford, D.K., "Cryptographic Sealing for Information Secrecy and Authentication," Communications of the ACM, vol. 25, no. 4, April 1982, pp. 274-286.
JP	Gilde, R., "DAT-Heads: Frequently Asked Questions," 1991, Release 3.1 (Sep. 2, 1992).
JP	Gircys, G.R., <u>Understanding and Using COFF</u> , O'Reilly & Associates, Inc. (Nov. 1988).
JP	Glatzer, H., "The Promise of LANs MIS Back in Control," Software News, March 1985, pp. 51-58.
JP	Gligor, V.D., et al., "Object Migration and Authentication," IEEE Transactions on Software Engineering, vol. SE-5, no. 6, November 1979, pp. 607-611.
JP	Gong, Li, "A Secure Identity-Based Capability System," University of Cambridge Computer Laboratory, January 1989, pp. 1-15.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Applicant No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JJ	Goodman, Bill, "Compactor Pro."
JJ	Gosler, James, "Software Protection: Myth or Reality", <u>Lecture Notes in Computer Science, Advances in Cryptology - Crypto '85 Proceedings</u> , 1985.
JJ	Gosling, J., "Oak Intermediate Bytecodes," 1995, 5 pages.
JJ	Gozani et al., "GAFFES: The Design of a Globally Distributed File System," Report No. UCB/CSD 87/361; Computer Science Division (EECS), U.C. Berkley (Jun. 1997).
JJ	Greenwald et al., "The Distributed Compartment Model for resource management and Access Control," Technical report Number TR94-035, The University of Florida (Oct. 1994).
JJ	Griswold, Gary N., "A Method for Protecting Copyright on Networks", IMA Intellectual Property Proceedings, Vol. 1, Issue 1, January 1994.
JJ	Gruber, R., et al., "Disconnected Operation in the Thor Object-Oriented Database System," Laboratory of Computer Science, Massachusetts Institute of Technology, (undated), pp. 1-6.
JJ	Guillou, Louis C.; "Smart Cards and Conditional Access", Springer-Verlag, 1988.
JJ	Haar, S.V., Document from the Internet: "PowerAgent Launches Commercial Service," Interactive Week, Aug. 4, 1997, 1 page.
JJ	Halfhill, T.R., et al., "Agents on the Loose," Byte.com, February 1994, pp. 1-2.
JJ	Halfhill, Tom, R., et al., "Just Like Magic?," Byte.com, February 12, 1994, pp. 1-5.
JJ	Haller, N., "The S/KEY One-Time Password System," Network Working Group RFC 1760 (Feb. 1995).
JJ	Hansen, S.E., et al., "Automated System Monitoring and Notification with Swatch," Proceedings of the 1993 LISA, Monterey, California, November 1-5, 1993, pp. 101-108.
JJ	Hardjono, Thomas, "Record Encryption in Distributed Databases," Department of Computer Science, University of New South Wales, January 1990, pp. 386-395.
JJ	Hardy, N., "The Keykos Architecture," Eighth Edition, December 1990, pp. 1-8.
JJ	Harn, Lein, et al., "A Software Authentication System for the Prevention of Computer Viruses," ACM, 1992, pp. 447-450
JJ	Harris, J., et al., "Bento Specification," Apple Computer, Inc., July 15, 1993, 106 pages.
JJ	Harty, K., et al., "Case Study: The VISA Transaction Processing System," May 30, 1988, pp. 1-23.
JJ	Hauser, Ralf, "Control Information Distribution and Access," Dissertation Der Wirtschaftswissenschaftlichen Fakultat Der Universitat Zurich, May 31, 1995.
JJ	Hauser, Ralf, C., "Does Licensing Require New Access Control Techniques?," Institut fur Informatik, Universitat Zurich, August 12, 1993, 9 pages.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Applicant	07451.0005-01000	Appn. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JH	Hauser, Ralf, et al., "LTTP Portection -- A Pragmatic Approach to Licenseing", Institut fur Informatik, Universitat Zurich, Jan. 13, 1994.
JH	Hawk, H.S., "RSA & General Magic," email to Good Guys, January 6, 1994, 1 page.
JH	Hellman, "Multi-user Cryptographic Techniques".
JH	Herzberg, Amir, et al., "On Software Protection", Proceedings of the 4 th Jerusalem Conference on Information Technology (JCIT), IEE Computer Society Procs. April 1984.
JH	Hickman, Kipp, E.B., SSL 2.0 Protocol Specification.
JH	Hill, William et al., "Edit Wear and Read Wear," Computer Graphics and Interactive Media Research Group, ACM; May 3-7, 1992.
JH	Hill, William et al., "History-Enriched Digital Objects," Computer Graphics and Interactive Media Research Group; Bell Communications Research, 1993.
JH	Hoffman, L.J., <u>Modern Methods for Computer Security and Privacy</u> , Prentice-Hall, Inc., 1977.
JH	Holsinger, E., <u>How Music and Computers Work</u> , Ziff-Davis Press, 1994.
JH	Holzner, S., <u>Heavy Metal OLE 2.0 Programming</u> , IDG Books Worldwide, Inc., 1994.
JH	Honeyman, P., "Digest of the First USENIX Workshop on Electronic Commerce (EC 95)," July 1995.
JH	Horster, P., <u>Communications and Multimedia Security II</u> , Chapman & Hall, 1996.
JH	Hsiao, D., et al., <u>Computer Security</u> , Academic Press, Inc., 1979.
JH	Hutt, A.E., et al., <u>Computer Security Handbook Second Edition</u> , Macmillan Publishing Company, 1988, pp. 201-217.
JH	Ioannidis, J., et al., "The Architecture and Implementation of Network-Layer Security Under Unix," Fourth USENIX Security Symposium Proceedings (Oct.), USENIX, Berkeley, Calif. 1993, pp. 1-11.
JH	Jaeger, T., et al., "Support for the File System Security Requirements of Computational E-Mail Systems," November 1994, ACM 0-89791-732-4/94/0011, 9 pages.
JH	Johnson, H.L., et al., "A Secure Distributed Capability Based System," ACM, 1985, pp. 392-402.
JH	Johnson, R., "Info on Telescript," 1994 Software Agents List Archive, December 6, 1994, pp. 1-4.
JH	Jones et al., "Credentials for Privacy and Interoperation," University of Illinois at Urbana-Champaign.
JH	Kahn, D., <u>The Codebreakers: The Story of Secret Writing</u> , The Macmillan Company, 1967.
JH	Kahn, Robert, et al., "A Framework for Distributed Digital Object Services, Corporation for National Research Initiatives, May 13, 1995.
JH	Kaliski, Jr., et al. "A Layman's Guide to a Subset of ASN.1, BER, and DER", RSA Laboratories Technical Note, 1991, Revised November 1, 1993.



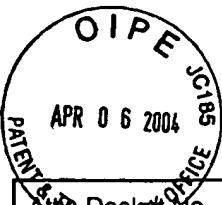
APR 06 2004

OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Attala Deck No.	07451.0005-01000	Appn. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JL	Kamens, J.I., "Retrofitting Network Security to Third-Party Applications-The SecureBase Experience," Symposium Proceedings, Unix Security IV, October 4-6, 1993, Santa Clara, California, pp. 41-57.
JL	Kaner, Cem et al., <u>Testing Computer Software</u> , Second Edition, Van Nostrand Reinhold, 1988.
JL	Kaplan, M., "IBM Cryptolopes, Super Distribution and Digital Rights Management," viewed at < http://www.research.ibm.com/people/k/kaplan/cryptolope-docs/crypap.html >on December 30, 1996, pp. 1-10.
JL	Karger, P.A., et al., "A VMM Security Kernel for the VAX Architecture," CH2884-5/90/0000/0002, IEEE 1990, pp. 2-19.
JL	Karger, P.A., et al., "Multics Security Evaluation: Vulnerability Analysis," HQ Electronic Systems Division, Hanscom AFB, Technical Report 19, June 1974, 14 pages.
JL	Kastenholz, F., "The Definitions of Managed Objects for the Security Protocols of the Point-to-Point Protocol," Network Working Group RFC 1472 (Jun. 1993).
JL	Katzan, Harry, Jr., <u>Computer Data Security</u> , Litton Educational Publishing Company, 1973.
JL	Kautz, "Referral Web: Combining Social Networks and Collaborative Filtering," Communications of the ACM, pp. 63-65 (Mar. 1997)
JL	Keefe, T.F., et al., "Prototyping the SODA Security Model," Department of Computer Science, University of Minnesota, 1990, pp. 211-235.
JL	Kelman, Alistair, "Electronic Copyright Management: Possibilities and Problems", Scientists for Labor Presentation, Nov. 14, 1996.
JL	Kelter, U., "Discretionary Access Controls in a High-Performance Object Management System," 1991 IEEE Computer Society Symposium on Research in Security and Privacy, May 20-22, 1991, Oakland, California, pp. 288-299.
JL	Kelter, U., et al., "Type Level Access Controls for Distributed Structurally Object-Oriented Database Systems," Computer Security, ESCORICS 92, Second European Symposium on Research in Computer Security, Toulouse, France, November 23-25, 1992, pp. 21-40.
JL	Kent, S., "U.S. Department of Defense Security Options for the Internet Protocol," Network Working Group RFC 1108 (Nov. 1991).
JL	Kent, S., Privacy Enhancement for Internet Electronic Mail: "Part II: Certificate-Based Key Management," Network Working Group, RFC 1422, 2002, pp. 1-29.
JL	Ketchpel, Steve P., et al. "Shopping Models: A Flexible Architecture for Information Commerce", Stanford University.
JL	Kim, G.H., et al., "The Design and Implementation of Tripwire: A File System Integrity Checker," November 19, 1993.
JL	Kim, Gene H., et al. "Experiences with Tripwire: Using Integrity Checkers for Intrusion Detection," Purdue Technical Report CSD-TR-94-012, Feb. 21, 1994.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appl. No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Kim, L., et al., "Novell Cuisine," Proceedings of the ACM SIGUCCS User Services Conference XIX, November 3-6, 1991, Seattle, Washington, pp. 183-184.
JP	Kim, W., et al., "Features of the ORION Object-Oriented Database System," 1989, pp. 251-282.
JP	Kim, Won, et al., <u>Object-Oriented Concepts, Databases, and Applications</u> , ACM Press, 1989.
JP	Klemond, P., "Investigating Object Linking and Embedding, Part II: Adding Server Support," Microsoft Systems Journal, May/June 1992, pp. 87-94.
JP	Klemond, P., "Taking the Bull by the Horns: Investigating Object Linking and Embedding, Part I," Microsoft Systems Journal, March/April 1992, pp. 19-38.
JP	Kluepfel, H.M., "Securing a Global Village and its Resources: Baseline Security for Interconnected Signaling System #7 Telecommunications Networks," 1993, pp. 195-212.
JP	Koenig, A., "Automatic Software Distribution," Usenix Association Software Tools Users Group, Summer Conference, Salt Lake City, June 12-15, 1984, pp. 312-322.
JP	Kohl et al., "Safeguarding Digital Library Contents and Users," IBM research Division, D-Lib magazine, (Sep. 1997).
JP	Kohl, J. et al., "The Kerberos Network Authentication Service (V 5)," Network Working Group Request for Comments RFC-1510, September 1993, 104 pages.
JP	Kohl, J.T., et al., "The Evolution of the Kerberos Authentication Service," Digital Equipment Corporation, (undated), pp. 1-15.
JP	Kohl, J.T., et al., "The Evolution of the Kerberos Authentication System," 1991.
JP	Kohl, U, et al., "Safeguarding Digital Library Contents and Protecting Documents Rather Than Channels," in <i>D-lib Magazine</i> , September 1997, available at < http://www.dlib.org/dlib/september97/ibm/09lotspiech.html >, pp. 1-9.
JP	Kohnfelder, Loren M., "Towards a Practical Public-Key Cryptosystem", May 1978.
JP	Konheim, Alan, G., et al., <u>Cryptography: A Primer</u> , John Wiley & Sons, Inc., 1981.
JP	Konstan, et al., "Applying Collaborative Filtering to Usenet News," Communications of the ACM, pp. 77-87 (Mar. 1997)
JP	Krajewski, Jr., M., "Applicability of Smart Cards to Network User Authentication," Computing Systems, vol. 7, no. 1, Winter 1994, pp. 75-89.
JP	Krajewski, Jr., M., "Smart Card Augmentation of Kerberos," The Mitre Corporation, (undated), 6 pages.
JP	Krajewski, Jr., M., et al., "Concept for a Smart Card Kerberos," The Mitre Corporation, (undated), 7 pages.
JP	Kramer, M., "Strength in Numbers," PC Week, July 22, 1986, pp. 57-58.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

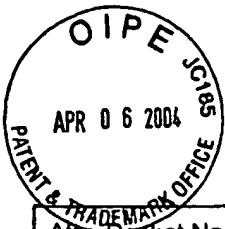
Atty. Docket No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132

RECEIVED

APR 13 2004

Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Kreutzer, A.N., "An On-Line System for Controlling and Monitoring Software Usage in a Microcomputer Laboratory," SIGUCCS Newsletter, vol. 18, no. 2, 1988, pp. 29-32.
JP	Krol, E., <u>The Whole Internet User's Guide and Catalog</u> , 2 nd . Ed., O'Reilly & Associates, Inc., 1992.
JP	Kurak, C., et al., "A Cautionary Note On Image Downgrading," Proceedings of the 1992 Computer Security Applications Conference, San Antonio, TX, December 1992, 7 pages.
JP	Lacy, Jack, et al., "Music on the Internet and the Intellectual Property Protection Problem".
JP	Lagoze et al., "A Design for Inter-Operable Secure Object Stores (ISOS)," Cornell University, NCSA, CNRI (Nov. 1995).
JP	Lagoze, Carl, "A Secure Repository Design for Digital Libraries", D-Lib Magazine, Dec. 1995.
JP	LaLonde Wilf, R., et al., <u>Inside Smalltalk: Volume 1</u> , Prentice-Hall, Inc., 1990.
JP	Lamson, B. et al., "Authentication in Distributed Systems: Theory and Practice," ACM Trans. Computer Systems, Vol. 10, No. 4 (Nov. 1992), 46 pages.
JP	Lamson, B., "Computer Security," Digital Equipment Corporation, 1991, pp. 1-54.
JP	Lamson, B.W., "A Note on the Confinement Problem," Communications of the ACM, October, 1973, pp. 1-5.
JP	Lamson, Butler, et al., "Authentication in Distributed Systems: Theory and Practice", Digital Equipment Corporation, 1992.
JP	Landwehr, C.E, et al., "A Taxonomy of Computer Program Security Flaws," ACM Computer Surveys, Vol. 26, No. 3, September 1994, pp. 211-254.
JP	Landwehr, C.E., "Formal Models for Computer Security," Computer Surveys, Vol. 13, No. 3, September 1981, pp. 247-278.
JP	Langelaar, G.C. "Overview of Protection Methods in Existing TV and Storage Devices," SMS-TUD-609-1, Final Ver. 1,2, Feb. 26, 1996.
JP	Leary, P., "Are There Ciphers in Shakespeare?," 1995, pp. 1-18.
JP	Leiss, E., "On Authorization Systems with Grantor-Controlled Propagation on Privileges," Compcon 83, February 28-March 3, 1983, San Francisco, California, pp. 499-502.
JP	Lesk, M., "Digital Libraries Meet Electronic Commerce: On-Screen Intellectual Property," (Dec. 15, 1998).
JP	Levine, P.H., et al., "Network License Server," Apollo, October 1987, pp. 1-19.
JP	Lewontin, S., et al., "The DCE Web Project: Providing Authorization and Other Distributed Services to the World Wide Web," February 22, 2002.
JP	Lin, P., "The Encapsulated Security Services Interface (ESSI)," Computer Security (A-37), 1993, pp. 119-135.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Linn, J., "Privacy Enhancement for Internet Electronic Mail: Part I--Message Encipherment and Authentication Procedures," Network Working Group, August 1989, pp. 1-30.
JP	Lipson, S., "Little Black Box 'Blocks' Illicit Software Copying," Stamford Advocate, September 14, 1986, pp. E1-E2.
JP	Lockhart, Jr., H.W., <u>OSF DCE Guide to Developing Distributed Applications</u> , McGraw-Hill, Inc., 1994.
JP	Lord et al., "Access Management in Multi-Administration Networks," IEE 2 nd International Conference on Secure Communication Systems (1986).
JP	Lunt, Teresa, "Multilevel Security for Object-Oriented Database Systems," SRI International Computer Science Laboratory, 1990, pp. 199-209.
JP	Mann, C.C., "Homeland Insecurity," September 2002.
JP	Mathy, Laurent, "Features of the ACCOPI Multimedia Transport Service", Lecture Notes in Computer Science, NO. 1045, Proc. of European Workshop IDMS' 96, March 1996.
JP	Maude, T., et al., "Hardware Protection Against Software Piracy," Communications of the ACM, vol. 27, no. 9, September 1984, pp. 951-959.
JP	McClogbrie et al., "Management Information Base for Network Management of TCP/IP-based Internets," Network Working Group, RFC 1156 (May 1990).
JP	McCloghrie, K., et al., "Definitions of Managed Objects for Administration of SNMP Parties," Network Working Group, July 1992, pp. 1-17.
JP	McCollum, C.J., et al., "Beyond the Pale of MAC and DAC-Defining New Forms of Access Control," , Unisys Defense Systems, 1990, pp. 190-200.
JP	McGraw, G., et al., <u>Java Security</u> , John Wiley & Sons, Inc., 1997.
JP	Medvinsky, G., et al., "NetCash: A Design for Practical Electronic Currency on the Internet," 1 st Conference on Computer and Communication Security, November, 1993, pp. 102-106.
JP	Merkle, R.C., "Secure Communications Over Insecure Channels," Communications of the ACM, vol. 21, no. 4, April 1978, pp. 294-296.
JP	Merkle, Ralph C., "Protocols for Public Key Cryptosystems", IEEE, 1980.
JP	Meyer, C.H., et al., <u>Cryptography: A New Dimension in Computer Data Security</u> , John Wiley & Sons, Inc., 1982.
JP	Millen, J.K., et al., "Security for Object-Oriented Database Systems," Proceedings of the 1992 IEREE Computer Society Symposium on Research in Security and Privacy, May 4-6, 1992, Oakland, California, pp. 260-272.
JP	Miller, S.P., et al., "Kerberos Authentication and Authorization System," Massachusetts Institute of Technology, October 27, 1998, pp.1-36.
JP	Minear, S.E., "Providing Policy Control Over Object Operations in a Mach Based System," Secure Computing Corporation, April 28, 1995, 15 pages.



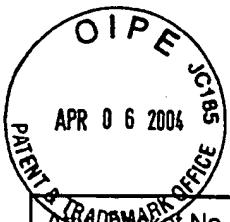
OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

RECEIVED

Att'y Docket No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al. APR 13 2004		
Filing Date	September 17, 1999	Group:	2132 Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Moens, Jan, "Case of Application of the Generic CITED Model to the CITEDisation of a Directory Database on CD-ROM, Ver. 2.0," ESPRIT II, Project 5469, The CITED Consortium, Nov. 30, 1992.
JP	Moens, Jan, "Report on the Users Requirements, Ver. 1.0," ESPRIT II, Project 5469, The CITED Consortium, Nov. 27, 1991.
JP	Moffett, J. D., "Delegation of Authority Using Domain-Based Access Rules," thesis Imperial College of Science, Technology & Medicine, University of London (Jul. 1990).
JP	Moffett, J., et al., "Specifying Discretionary Access Control Policy for Distributed Systems," Computer Communications, vol. 13, no. 9, November, 1990, pp. 1-17.
JP	Moffett, J.D., "Specification of Management Policies and Discretionary Access Control," Department of Computer Science, University of York, June 28, 1994, pp. 1-28.
JP	Moffett, J.D., et al., "The Representation of Policies as System Objects," Proceedings of the Conference on Organizational Computer Systems (COCS '91), Atlanta, Georgia, November 5-8, 1991, 16 pages.
JP	Moffett, J.D., et al., "Policy Hierarchies for Distributed Systems Management," IEEE JSAC Special Issue on Network Management, vol. 11, no. 9, December 1993, pp. 1-4.
JP	Moffett, Jonathan, D., et al., "An Introduction to Security Distributed Systems," Department of Computer Science, University of York, England, August 1993, pp. 1-14.
JP	Montini, G., et al., "Access Control Models and Office Structures," Computer Security: A Global Challenge, 1984, pp. 473-485.
JP	Mori, R. et al., "Superdistribution The Concept and the Architecture," The Transactions of the IEICE, Vol. E73, No. 7, Tokyo Japan, July 1990, pp. 1133-1146.
JP	Muftic, Sead, <u>Security Mechanisms for Computer Networks</u> , Ellis Horwood Limited, 1989.
JP	Mullender, S., <u>Distributed Systems</u> , ACM Press, 1989.
JP	Needham, R.M., "Adding Capability Access to Conventional File Servers," Xerox Palo Alto Research Center, (undated), pp. 3-4.
JP	Neuman et al. "Kerberos: An Authentication Service for Computer Networks," <i>IEEE Communications magazine</i> (Sep. 1994).
JP	Neuman, B.C., "Proxy-Based Authorization and Accounting for Distributed Systems," Information Sciences Institute, University of Southern California, 1993, pp. 283-291.
JP	Nguyen, Thanh et al., "Guidelines for Validation of a CITED System," CITED 5469, SA-21-40-003, Jul. 4, 1994.
JP	O'Connor, MaryAnn, "New Distribution Option for Electronic Publishers," Information Access Co., March 1994, pp. 1-6.
JP	Olivier, M.S. et al., "A Taxonomy for Secure Object-oriented Databases," ACM Transactions on Database Systems, Vol. 19, No. 1, March 1994, pp. 3-46.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

RECEIVED

Trademark No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		APR 13 2004
Filing Date	September 17, 1999	Group:	2132 Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Olivier, M.S. et al., "Building a Secure Database using Self-protecting Objects," Computers & Security, Vol. 11, No. 3, 1992, 14 pages.
JP	Olivier, M.S. et al., "DISCO: A Discretionary Security Model for Object-oriented Databases," in GG Gable and WJ Caelli, Eds., IT Security: The Need for International Cooperation, pp. 345-357, Elsevier Science Publishers B.V. (North Holland), 1992, 14 pages.
JP	Olivier, M.S. et al., "Secure Object-oriented Databases," Ph.D. Thesis, Rand Afrikaans University, Johannesburg, December 1991, pages i to xiv and 1-183.
JP	Olivier, M.S., "A Multilevel Secure Federated Database," Database Security, VIII (A-60), 1994, pp. 183-198.
JP	Olson, M., et al., "Concurrent Access Licensing," vol. 6, no. 9, Unix Review, 1988, pp. 67-74.
JP	Orfali, R., et al., <u>The Essential Distributed Objects Survival Guide</u> , John Wiley & Sons, Inc., 1996.
JP	Organick, E.I., <u>The Multics System: An Examination of Its Structure</u> , MIT Press, 1972.
JP	Paepcke, Andreas, "Summary of Stanford's Digital Library Testbed and Status," Stanford University, D-Lib Magazine (Jul. 1996).
JP	Paradinas, Pierre et al., "New Directions for Integrated Circuit Cards Operating Systems."
JP	Park, J.S., <u>AS/400 Security in a Client/Server Environment</u> , John Wiley & Sons, Inc., 1995.
JP	Perlman, Bill, "A Working Anti-Taping System for Cable Pay-Per-View," IEEE Trans. On Consumer Electronics, Vol. 35, No. 3 (Aug. 1989).
JP	Pethia et al., "Guidelines for the Secure Operation of the Internet," Network Working Group, RFC 1281 (Nov. 1991).
JP	Picciotto, J., et al., "Extended Labeling Policies for Enhanced Application Support," Computers & Security, vol. 13, no. 7, 1994, pp. 587-599.
JP	Pietreck, M., <u>Windows Internals: The Implementation of the Windows Opeartion Environment</u> , Addison-Wesley (1993).
JP	Pijenborg, Mari, F.J., "Auteursrecht En De Digitale Bibliotheek," 195 Open, Jan. 1995.
JP	Pijnenborg, Mari F.J., "CITED Final Report," Elsevier Science B.V., Apr. 1994.
JP	Polk, T.W., "Approximating Clark-Wilson "Access Triples" with Basic UNIX Controls," Symposium Proceedings, Unix Security IV, October 4-6, 1993, Santa Clara, California, pp. 145-154.
JP	Popek, Gerald, J., et al., "Encryption and Secure Computer Networks," Computing Surveys, vol. 11, no. 4, December 1979, pp. 331-356.
JP	Press, J., "Secure Transfer of Identity and Privilege Attributes in an Open Systems Environment," Computers & Security, vol. 10, no. 2, 1991, pp. 117-127.
JP	Press, Jim et al, "A New Approach to Cryptographic Facility Design", ICL Mid-Range Systems Division Reading, Berks, UK.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Applicant's Trade Name or Business Name No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
P	Purdy, G.B., et al., "A Software Protection Scheme," Proceedings of the 1982 Symposium on Security and Privacy, April 26-28, 1982, Oakland California, pp. 99-103.
P	Rashid, R.F., <u>CMU Computer Science: A 25th Anniversary Commemorative</u> , Addison-Wesley Publishing Company, 1991.
P	Reali, Patti, "Copy Protection: The answer to pay per view's Prayers?", TVRO Dealer, Dec. 1994.
P	Reiher et al. "Truffles - Secure File Sharing With minimal system Administrator Intervention," UCLA, Trusted Information Systems.
P	Reiher et al., "Truffles - A Secure Service for Widespread File Sharing," UCLA, Trusted Information Systems
P	Rescorla, E., et al., "The Secure HyperText Transfer Protocol," Enterprise Integration Technologies, June 1994, pp. 1-23.
JP	Richardson, D.W., <u>Electronic Money: Evolution of an Electronic Funds-Transfer System</u> , The MIT Press (1970).
JP	Rindfrey J., "Security in the World Wide Web," Fraunhofer Institute for Computer Graphics (Dec. 1996).
JP	Rindfrey, Jochen, "Towards an Equitable System for Access Control and Copyright Protection in Broadcast Image Services; The Equicrypt Approach," Fraunhofer Institute for Computer Graphics.
JP	Rivest, R., "The MD5 Message-Digest Algorithm," Network Working Group, April 1992, pp. 1-21.
JP	Rivest, R.L., et al., "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems," Communications of the ACM, vol. 21, no. 2, February, 1978, pp. 120-126b.
JP	Rivest, Ronald L., et al., "SDSI - A Simple Distributed Security Infrastructure", MIT and Microsoft Corporation, April 30, 1996.
JP	Roberts, R., et al., <u>Compute!'s Computer Security</u> , Compute! Publications, Inc., 1989.
JP	Robinson et al., "Encoding Header Field for Internet Messages," Network Working Group RPC 1154 (Apr. 1990).
JP	Rose et al., "Structure and Identification of management Information for TCP/IP-based Internets," Network Working Group RFC 1155 (May 1990).
JP	Rosenberry, W., et al., "Distributing Applications Across DCE and Windows NT," 1993.
JP	Rosenberry, W., et al., <u>Understanding DCE</u> , O'Reilly & Associates, Inc., 1992.
JP	Rosenblit, Moshe, "Secure Software Distribution", IEEE 0-7803-1811-0/94, 1994.
JP	Rosenthal, Doug, "EINet: A secure, Open Network for Electronic Commerce", IEEE, 1994.
JP	Ross, P.E., "Cops versus robbers in cyberspace," Forbes (Sep. 9, 1996).
JP	Rouaiz, F., "A Web Navigator with Applets in Caml," INRIA.

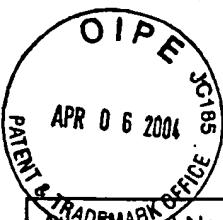


OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appl. No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Rubin et al., "Formal Methods for the Analysis of Authentication Protocols CITI Technical Report 93-7," Center for Information Technology Integration (Nov. 8, 1993).
JP	Rubin et al., "Long Running Jobs in an Authenticated Environment," CITI Technical Report 93-1, Center for Information Technology Integration (March 1993).
JP	Rubin, A.D., "Trusted Distribution of Software Over the Internet," Bellcore, 1995, pp. 1-9.
JP	Rucker, et al., "Personalized Navigation for the Web," Communications of the ACM, pp. 73-75 (Mar. 1997).
JP	Rushby, J.M., "Design and Verification of Secure Systems," ACM, 1981, pp. 12-21.
JP	Russell, D., et al., <u>Computer Security Basics</u> , O'Reilly & Associates, Inc., 1991.
JP	Russell, S., "Paradigms for Verification of Authorization at Source of Electronic Documents in an Integrated Environment," Computers & Security, vol. 12, no. 6, 1993, pp. 542-549.
JP	Russell, S., "Planning for the EDI of Tomorrow Using Electronic Document Authorization," Computer Security (A-37), 1993, pp. 243-251.
JP	Sahuguet, A. "Piracy: the Dark Side of Electronic Commerce: CIS-700/2," Univ. of Pennsylvania (May 5, 1998).
JP	Sammer et al., "New Tools for the Internet," Jeanneum Research, Graz University of Technology.
JP	Sandhu, R., et al., "A Secure Kernelized Architecture for Multilevel Object-Oriented Databases," Proceedings of the IEEE Computer Security Foundations Workshop IV, June 1991, Franconia, NH, pp. 139-152.
JP	Sandhu, R.S., "The Typed Access Matrix Model," Proceedings of the IEEE Symposium on Security and Privacy, Oakland California, May 4-6, 1992, pp. 122-136.
JP	Sandhu, R.S., et al., "Data and Database Security and Controls," Handbook of Information Security Management, Auerbach Publishers, 1993, pp. 1-37.
JP	Sandhu, Ravi, S., et al., "Implementation Considerations for the Typed Access Matrix Model in a Distributed Environment," Proceedings of the 15 th NIST-SCSC National Computer Security Conference, Baltimore, Maryland, October 1992, pp. 221-235.
JP	Saydjari, O.S., et al., "LOCK Trek: Navigating Uncharted Space," National Computer security Center, 1989, pp. 167-175.
JP	Schaumüller-Bichl, S., "IC-Cards in High-Security Applications," Voest-Alpine AG, (undated), pp. 177-199.
JP	Schill, A., et al., "Mobility Aware Multimedia X. 400 e-mail: A Sample Application Based on a Support Platform for Distributed Mobile Computing," Dresden University of Technology, Faculty of Computer Science, (undated), pp. 1-7.
JP	Schill, A.B., et al., "DC++: Distributed Object-Oriented System Support on top of OSF DCE," 1993.



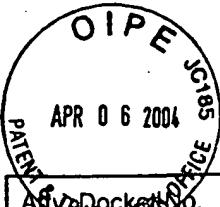
OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

RECEIVED

Appl. No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132 Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
P	Schill, A.B., et al., "DCE-The OSF Distributed Computing Environment Client Server Model and Beyond," October 1993.
P	Schneier, Bruce, <u>Applied Cryptography: Protocols, Algorithms, and Source Code in C</u> , John Wiley & Sons, Inc., 1994.
P	Schulze, Dr. J., "Case of Application of the Generic CITED Model to the CITEDisation in the Software Distribution Process," ESPRIT II, Project, Jan. 12, 1993.
D	Schutzer, D., "A Need for a Common Infrastructure: Digital Libraries and Electronic Commerce," Citibank, D-Lib Magazine (Apr. 1996).
D	Sebes, E.J., "Overview of the Architecture of Distributed Trusted Mach," (undated), pp. 1-10.
D	Sebes, E.J., et al., "The Architecture of Triad: A Distributed, Real-Time, Trusted System," (undated), pp. 1-12.
D	Sebes, E.J., et al., "The Triad System: The Design of a Distributed, Real-Time, Trusted System," (undated), pp. 1-12.
P	Shaffer, S.L., et al., <u>Network Security</u> , Academic Press, Inc., 1994.
D	Shirley, J., "Guide to Writing DCE Applications," 1 st Ed. 1992.
D	Shirley, J., et al., "Guide to Writing DCE Applications," 2 nd Ed. 1994.
D	Shneier, B., "Description of New Variable-Length Key, 64-bit block cipher (Blowfish)," Fast Software Encryption, Cambridge Security Workshop Proceedings (1994).
D	Short, K.L., <u>Microprocessors and Programmed Logic</u> , Prentice-Hall, Inc., 1981.
D	Sibert, O. et al., "DigiBox: A Self-Protecting Container for Information Commerce," Proceedings of the First USENIX Workshop on Electronic Commerce, New York, NY, July 1995, 13 pages.
D	Sibert, O., et al., "The Intel 80x86 Processor Architecture: Pitfalls for Secure Systems," (undated), 12 pages.
D	Simon et al., "Digital Images Protection Management in a Broadcast Framework: Overview/TALISMAN Solution," Thomson-CSF, RTBF, ART3000, UCL.
D	Sirbu et al., "NetBill: An Internet Commerce System Optimized for Network Delivered Services," Carnegie Mellon University.
D	Smith, Mary Grace, et al., "A New Set of Rules for Information Commerce: Rights-Protection Technologies and Personalized-Information Commerce Will Affect All Knowledge Workers", CommunicationsWeek, Nov. 6, 1995.
D	Solomon, A., <u>PC Viruses: Detection, Analysis and Cure</u> , Springer-Verlag, (undated).
D	Solomon, Daniel, J., "Processing Multilevel Secure Objects," Proceedings of the 1981 Symposium on Security and Privacy, April 27-29, 1981, Oakland, California, pp. 56-61.
D	St. Johns, M., "Draft Revised IP Security Option", Network Working Group, RFC, 1038, Jan. 1998.

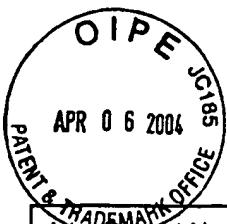


OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Att'y Docket No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
jj	Stallings, W., <u>Cryptography and Network Security: Principles and Practice</u> , Prentice-Hall, Inc., 1999.
jj	Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication," Xerox PARC, Palo Alto, CA, 1994-1995, 35 pages.
jj	Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication, in Internet Dreams: Archetypes, Myths, and Metaphors," Massachusetts Institute of Technology, 1996, pp. 219-53.
jj	Stefik, M., Chapter 7, Classification in "Introduction to Knowledge Systems," Morgan Kaufmann Publishers, Inc., 1995, pp. 543-607.
jj	Stepney et. al., "Formal specification of an Access Control System," Software-Practice and Experience, Vol. 17, No. 9 (1987).
jj	Strack, Hermann, "Extended Access Control in UNIX System V-ACLs and Context," Usenix Association, Proceedings of the Unix Security II Workshop, August 27-28, 1990, Portland, Oregon, pp. 87-101.
jj	Strohm et al., "ABYSS: Tokens," IBM Research Report Number RC 12402 (Dec. 18, 1986).
jj	Stubblebine, S.G., "Security Services for Multimedia Conferencing," Proceedings of the 16 th National Computer Security Conference, Baltimore, Maryland, September 20-23, 1993, pp. 1-5.
jj	Swedlow, Tracy, "2000: Interactive Enhanced Television: A Historical and Critical Perspective," Interactive TV Today.
jj	Tanenbaum et al., "Amoeba System," Communications of the ACM, Vol. 33, No. 12 (Dec. 1990).
jj	Tanenbaum et al., "Experiences with the Amoeba Distributed Operating System," Vrije Universiteit and Centrum voor Wiskunde en Informatica.
jj	Tanenbaum et al., "Using Sparse Capabilities in a Distributed Operating System," Vrije Universiteit and Centre for Mathematics and Computer Science.
jj	Tanenbaum, A.S., et al., "Distributed Operating Systems," Computing Surveys, vol. 17, no. 4, December 1985, pp. 419-470.
jj	Tanenbaum, A.S., et al., "Experiences with the Amoeba Distributed Operating System," 1990.
jj	Tanenbaum, A.S., et al., "The Amoeba Distributed Operating System-A Status Report," 1991.
jj	Tanenbaum, A.S., <u>Modern Operating Systems</u> , Prentice-Hall, Inc. 1992.
jj	Tanenbaum, A.S., <u>Operating Systems: Design and Implementation</u> , Prentice-Hall, Inc. 1987.
jj	Tardo et al., "Mobile Agent Security and Telescript," General Magic, Inc.
jj	Thomas, R.K., et al., "Implementing the Message Filter Object-Oriented Security Model without Trusted Subjects," Proceedings of the IFIP Workshop on Database Security, August 19-21, 1992, Vancouver, Canada, 21 pages.
jj	Thompson, Victoria P., et al., "A Concept for Certification of an Army MLS Management Information System", Proceedings of the 16 th National Computer Security Conference, September 20-23, 1993.

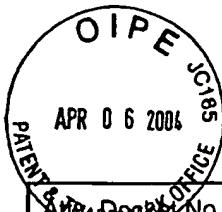


OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	07451.0005-01000	Appn. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Thor, "A Distributed Object-Oriented Database System", MIT.
JP	Thuraisingham, B., et al., "Parallel Processing and Trusted Database Management Systems," ACM, 1993.
JP	Thuraisingham, M.B., "Mandatory Security in Object-Oriented Database Systems," OOPSLA '89 Proceedings, October 1-6, 1989, pp. 203-210.
JP	Ting, T.C., et al., "Requirements, Capabilities and Functionalities of User Role Based Security for an Object-Oriented Design Model," Database Security, V: Status and Prospectus, 1992, pp. 275-297.
JP	Tirkel, A.Z. et al., "Electronic Water Mark," (undated), 5 pages.
JP	Toohey, J., <u>Using OLE 2.X in Application Development</u> , Que Corporation, 1994
JP	Townsend, J.E., "NIST on Internet Security," March 22, 1994, pp. 1-15.
JP	Tuck, Bill, "Electronic Copyright Management Systems: Final Report of a Scoping Study for Elib," (Jul. 1996).
JP	Tygar, J.D., et al., "Cryptography: It's Not Just for Electronic Mail Anymore," CMU-CS-93-107, School of Computer Science Carnegie Mellon University, Pittsburgh, PA, March 1, 1993, pp. 1-21.
JP	Tygar, J.D., et al., "Dyad: A System for Using Physically Secure Coprocessors," School of Computer Science, Carnegie Mellon University, Pittsburgh, PA, pp. 1-41
JP	Tygar, J.D. et al., "Strongbox: A System for Self Securing Programs," CMU Computer Science: 25 th Anniversary Commemorative, R. Rashid (ed.) Addison-Wesley, 1991, pp. 163-197.
JP	Uhler, Stephen A., "PhoneStation, Moving the Telephone onto the Virtual Desktop," 1993 Winter USENIX, San Diego, California, January 25-29, 1993, pp. 131-140.
JP	van Gilluwe, F., <u>The Undocumented PC: A Programmer's Guide to I/O, Cpus, and Fixed Memory Areas</u> , Addison-Wesley Publishing Company, 1994.
JP	van Schyndel, R.G., et al., "A Digital Watermark," (undated), 3 pages.
JP	Van Slype, Georges et al, "The Future of CITED; a Feasibility Study," ESPRIT II, Project 5469, The CITED Consortium, Nov. 15, 1993.
JP	Van Slype, Georges et al., "Natural Language Version of the Generic CITED Model, Ver. 4.2, Vol. I: Presentation of the Generic Model," ESPRIT II, Project 5469, The CITED Consortium, May 8, 1995.
JP	Van Slype, Georges et al., "The Future of CITED: A Feasibility Study, Ver. 1.0, Vol. II: Full Report," ESPRIT II, Project 5469, The CITED Consortium, Feb. 28, 1994.
JP	Van Slype, Georges, "Draft CITED Interchange Formats, Ver. 1.0", ESPRIT II, Project 5469, the CITED Consortium, Jan. 28, 1994.
JP	Van Slype, Georges, "Knowledge Economy; Future Trends," CITED 5469.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appl. No. 07451.0005-01000		Appln. No. 09/398,665	RECEIVED
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132
APR 13 2004 Technology Center 2100			

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
	Van Slype, Georges, "Natural Language Version of the Generic CITED Model, Ver. 2.1, Vol. II ECMS (Electric Copyright Management System) Design for Computer Based Applications," ESPRIT II, Project 5469, The CITED Consortium, May 8, 1995.
	Van Slype, Georges, "Natural Language Version of the generic CITED model Vol. I: Presentation of the generic model, ver. 3.0", and "Vol. II: Cltdf usage monitoring system design for computer based applications, ver. 1.0" Project 54659, The CITED Consortium, September 6, 1993.
	Van Slype, Georges, "PL4 RACE/ACCOPI Workshop on Conditional Access and Copyright Protection," ESPRIT II, Project 5469, Presentation of the CITED, Nov. 9, 1994.
	Van Slype, Georges, "PL4 RACE/ACCOPI Workshop on Conditional Access and Copyright Protection," ESPRIT II, Project 5469, The CITED Consortium, Nov. 9, 1994.
	Van Slype, Georges, "The CITED Approach, Ver. 4.0," ESPRIT II, Project 5469, The CITED Consortium, Apr. 20, 1994.
	Van Slype, Georges, "The Future of CITED: A Feasibility Study, Ver. 1.0, Vol. I: Summary Report and Recommendations," ESPRIT II, Project 5469, The CITED Consortium, Feb. 28, 1994.
	Van Slype, Georges, "The Future of CITED: A Feasibility Study, Ver. 1.1, Vol. III: Draft CITED Interchange Formats," ESPRIT II, Project 5469, The CITED Consortium, Feb. 28, 1994.
	Van Slype, Georges, "The Future of CITED: A Feasibility Study, Ver. 1.1, Vol. I: Summary Report and Recommendations," ESPRIT II, Project 5469, The CITED Consortium, Mar. 28, 1994.
	Vickers Benzel, T.C., et al., "Identification of Subjects and Objects in a Trusted Extensible Client Server Architecture," (undated), pp. 1-17.
	Vittal, J., "Active Message Processing: Messages as Messengers," Bolt, Beranek and Newman, Inc., 1980, pp. 175-195.
	Voydock, V.L., et al., "Security Mechanisms in High-Level Network Protocols," Computing Surveys, vol. 15, no. 2, June 1983, pp. 135-171.
	Wagner, N. "Fingerprinting," Drexel University, IEEE Symp. On Info. and Privacy (Apr. 1993).
	Walker, Bruce, J., et al., <u>Computer Security and Protection Structures</u> , Dowden, Hutchinson, & Ross, Inc., 1977.
	Walker, S., "Notes from RSA Data Security Conference," January 18, 1994, pp. 1-3.
	Ware, W., Chairman RAND Corporation "Panel: The InterTrust Commerce Architecture," 1997, 6 pages.
	Wayner, P., "Agents Away," Byte.com, May 1994, pp. 1-9.
	Wayner, Peter, <u>Digital Copyright Protection</u> , Academic Press, 1997.
	Weardon, P.D., "The SIGSALY Story," December 10, 2002.
	Weingart, S.H., "Physical Security for the uABYSS System", IEEE, 1987.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
J	Wells, Rob, <u>Odyssey of Plastic Purchase; 20-Second Round Trip</u> , Associated Press, Dec. 1993.
J	White, J.E., "Telescript Technology: An Introduction to the Language," General Magic, Inc., (undated), pp. 1-13.
P	White, James E., "Telescript: The Foundation for the Electronic Marketplace", Ver. 5.0, General Magic, Inc., November 30, 1993.
JW	White, S.R., "ABYSS: A Trusted Architecture for Software Protection," (IBM Thomas J. Watson Research Center, Yorktown Heights, NY), 1987, pp. 38-50.
J	White, Steve R.; Comerford, Liam; "ABYSS: A Trusted Architecture for Software Protection:", IEEE, Apr. 27, 1987.
J	White, Steve R.; Comerford, Liam; "ABYSS: An Architecture for Softwae Protection", IEEE Transations on Software Engineering, Vol. 16, No. 6, Jun. 1990
J	Willett, S., "Metered PCs: Is Your System Watching You?, Wave Systems Beta Tests New Technology," IDG Communications, Inc., May 2, 1994, pp. 1-6.
J	Williams, S., "An MSJ Interview with Microsoft's Chief Architect of OLE, Tony Williams," Microsoft Systems Journal, October 1993, pp. 55-66.
J	Williams, Tony, "Microsoft Object Strategy", Microsoft PowerPoint Presentation", 1990.
J	Winslet et al., "Formal Query Languages for Secure Relational Databases," ACM Transactions on Database Systems, Vol. 19, No. 4 (Dec. 1994).
J	Wobber, E. et al., "Authentication in the Taos Operating System," an extended version of a paper presented at the 14th ACM Symposium on Operating System Principles, December 1993, 44 pages, (Digital Paper).
J	Wobber, E., et al., "Authentication in the Taos Operating System," an extended version of a paper presented at the 14 th ACM Symposium on Operating System Principles, December 1993, pp. 1-38.
J	Wobber, Edward, et al., "Authentication in the Taos Operating System", Digital Equipment Corporation, December 10, 1993.
J	Wong, R., et al., "The SIDOS System: A Secure Distributed Operating System Prototype," Odyssey Research Associates, October 1989, pp. 172-183.
J	Woo, Thomas, Y.C., et al., "A Framework for Distributed Authorization," Proceedings of the 1 st Conference Computer and Communication Security, November 1993, pp.112-118.
J	Wood, P.H., et al., <u>UNIX System Security</u> , Pipline Associates, Inc., 1985.
J	Yee, B., et al., "Secure Coprocessors in Electronic Commerce Applications," Proceedings of the First Usenix Workshop on Electornic Commerce, New York, New York, July 1995, 16 pages.
J	Young, W.D., "Verifiable Computer Security and Hardware: Issues," Technical Report, Computational Logic Inc., September 1991, 43 pages.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Zeleznick, M.P., "Security Design in Distributed Computing Applications," Department of Computer Science, University of Utah, December 1993, 16 pages.
JP	Zelnick, Nate, "Keeping Business Safe on the Internet," PC Magazine, April 25, 1995, pp. 1-2.
JP	Zurko, M.E., "Panels at the 1997 IEEE Symposium on Security and Privacy," Oakland, CA, May 1997, 12 pages.
JP	"Cryptolope Containers Technology: A White Paper", IBM InfoMarket Business Development Group.
JP	"RSA Security; News; "Hilgraeve Ships Secure Version of HyperACCESS/5," < http://rsasecurity.com/news/pr/940112-8.html >, dated January 12, 1994, pp. 1-2.
JP	A Brief History of the Green Project," viewed on March 12, 2002 at < http://java.sun.com/people/jag/green/index.html > pp. 1-2.
JP	A2b's Recent Press Coverage, (1998).
JP	"Access Control and Copyright Protection for Images Security Technology for Graphics and Communication Systems - RACE M1005: ACCOPI", webpage, Security Projects at Fraunhofer 1GD, 2002
JP	"ACCOPI RACE Project M1005 Warning of ACCOPI web pages removal," UCL Laboratoire de telecommunications et teledetection.
JP	"Achievements Archive," www.imprimatur.net/ web pages.
JP	"American National Standard: Specification for Financial Message Exchange Between Card Acceptor and Acquirer, X9.15," American Banker's Association, 1990.
JP	"An Introduction to Safety and Security in Telescript," (undated), 8 pages.
JP	"AT&T PersonaLink," Before February 13, 1995.
JP	"AT&T Smart Card Systems & Solutions," AT&T (1993).
JP	"Automation of Securities Markets and Regulatory Implications, Financial Market Trends," No. 50, pp. 20-33, Oct. 1991. [File 148, Gale Group Trade & Industry DB, Dialog(R) commercial database].
JP	Bell-Labs Secure Technologies, "Information Vending Encryption System (IVES)™," Lucent Technologies, May 31, 2002, pp. 1-16.
JP	Byte.com, "Speaking the Same Language," May 1994, pp. 1-2.
JP	CardTech/SecurTech 94 Conference Proceedings, "Building Foundations for Innovation," CardTech/SecurTech, Inc., April 1994, 1,031 pages.
JP	Carnegie Mellon University, "Internet Billing Server," Prototype Scope Document, INI Tech Report, October 14, 1993, pp. 1-29.
JP	Central Point Anti-Virus, Central Point Software, 1993.
JP	CITED: Copyright in Transmitted Electronic Documents, Special Interest Group," CITED Meeting, Heathrow, Sep. 22, 1993.

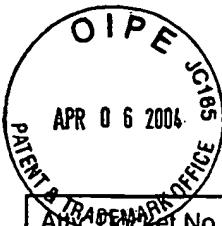


OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
	CITED: Final Report: A Guide to CITED Documentation," ESPRIT, Project 5469, ISBN 0-7123-2115-2, The CITED Consortium, Sept. 1994.
	CITED: Preparation of the CITED Model Functional Requirements Specifications; Discussion Paper (Revision 1)," Bureau Van Dijk, Jan. 16, 1991.
	CITED: Preparation of the CITED Model Functional Requirements Specifications; Reports of the Interviews with Five CITED Partners," (Partners: Sagem, Telesystemes, NTE, Elsevier, Oxford University Press), Bureau Van Dijk, Apr. 5, 1991.
	Coalition for Networked Information, Interactive Multimedia Association, John F. Kennedy School of Government, "Proceedings: Technological Strategies for Protecting Intellectual Property in the Networked Multimedia Environment," 1994, Journal of the Interactive Multimedia Association, available at < http://www.cni.org/docs/ima.ip-workshop >, 308 pages.
	Collection of Documents Including: Protecting Electronically Published Properties, Increasing Publishing Profits, (Electronic Publishing Resources Inc.) Jan. 1993, 25 pages.
	Competitive Analysis AT&T/a2b music (Jun. 16, 1998).
	"Copyright Ownership Projection in Computer-Assisted Training", COPICAT - 8195, ESPRIT, Dec. 1993.
	COPYSMART - 20517: "CITED Based Multi-media IPR Management on Cost Effective Smart Device," European Inforamtion Technology for Information Science, start date Dec. 1, 1995.
	"CopySmart (CSM) suit," European Information technology for Information Science.
	"CREANET - Creative Rights European Agency NETwork - Project Profile," Information Society Technologies (Feb. 18, 2000).
	"Cryptographic API Specification," Version 0.6, Microsoft, Mar. 1995.
	CUPID Protocols and Services (Version 1): "An Architectural Overview," November 1992, available at < http://www.cni.org/projects/CUPID >, 25 pages.
	CUPID, "Protocols and Services: An Architectural Overview", IP Workshop, Ver. 1, Last updated November 20, 1997.
	"Data Network and Open System Communications, Directory: Information Technology - Open Systems Interconnection - The Directory: Overview of Concepts, Models, and Services," ITU-T Recommendation X.500, International Telecommunication Union (Nov. 1993).
	"DCE Technology at Work," Distributed Computing Environment, http://www.opengroup.org/tech/dce/tech/tech.htm , November 7, 2000, pp. 1-3.
	"Deliverable D3: Specification of the Infrastructure and Explanation of Trust and Confidence Building Solutions," Ver. 0.1, Telenet (Jul. 18, 2000).
	"Department of Defense Computer Security Center, "Department of Defense Password Management Guideline," Department of Defense Computer Security Center, April 12, 1985, pp. 1-34.



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

RECEIVED

Att'y Ref. No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		APR 13 2004
Filing Date	September 17, 1999	Group:	2132 Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	"Department of Defense Standard, "Department of Defense Trusted Computer System Evaluation Criteria," December 1985, pp. 1-72.
JP	Department of Defense, "Trusted Computer System Evaluation Criteria," December 1985, 72 pages.
JP	"Digital Broadband Delivery System, Phase 1.0, System Overview," Revision 1.0, Scientific Atlanta, 1997.
JP	"Digital Rights Enforcement and Management: SuperDistribution of Cryptolopes", IBM.
JP	"DOD" Rainbow Series.
JP	Dynamic linking of SunOS
JP	EFFector Online, Vol. 6, No. 6, "A Publication of the Electronic Frontier Foundation," 8 pages, Dec. 6, 1993.
JP	"EFT Network Data Book; 1993 Edition," Bank Network News, Vol. 11, No. 13, Nov. 1992.
JP	"Electronic Reverse Copyright Management System (ERCOMS)," International Institute for Electronic Library Research, website updated by Ramsden, Anne (Jul. 22, 1996).
JP	E-mail from Caglar Gunyakti entitled: "Private Test Needed," April 28, 2001, 1 page.
JP	Email from Chris Drost-Hansen re press release: "AT&T Launches A2B Music Trial for Delivering Songs Over the Internet," Business Wire (Nov. 3, 1997).
JP	Email from Edmond Kouka to Jean-Francois Boisson re TELNET TELEtraining platform - Bogdan Lutkiewicz, Poland, Gdansk (Mar. 4, 2001).
JP	"ESPRIT Project 20676 - IMPRIMATUR - Intellectual Multimedia Property Rights Model and Terminology for Universal Reference," IMPRIMATUR Consortium (Oct. 1998).
JP	"ESPRIT Project: 5469: Contract Amendment Number 2;" Commission of the European Communities, Sep. 16, 1993.
JP	"ESPRIT Project 22226 - MUSE - Developing Standardized Digital media Management, Signaling and encryption Systems for the European Music Sector," International Federation of the Phonographic Industry (Oct. 1998).
JP	"ESPRIT Project 24378 - MENHIR European Multimedia Network of High Quality Image Registration," Museums On Line (Feb. 1, 1997).
JP	"Europe and The Global Information Society Recommendations to the European Council," Bamgemann Report, www.medicif.org web pages, Global Information Society (May 1994).
JP	"Forum on Risks to the Public in Computers and Related Systems," The Risks Digest, Vol. 15; Issue 39, January 21, 1994, pp. 1-12
JP	"Forum on Technology-Based Intellectual Property Management - Electronic Commerce for Content", IMA INtellectual Property Proceedings, Vol. 2, June 1996.
JP	FreeBSD System Manager's Manual "LDCONFIG", Oct. 3, 1993.

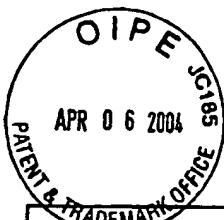


OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Appl. No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JL	"Gemplus. MCOS: Multi Application Chip Operating System - Introduction," Gemplus Card International (1990).
JL	Global Projects Group, "Smart Card Technology International: The Global Journal of Advanced Card Technology," undated, pp. 1-151.
JL	"Guide to the Secure Configuration and Administration of Microsoft Exchange 5.x®," National Security Agency, June 20, 2002, pp. 1-58.
JL	Handwritten note re: GVS and AJL, Mar. 2, 1994.
JL	Hewlett Packard Co., "Manager's Guide to MPE/iX Security," Hewlett-Packard Company, April 1994.
JL	IBM, "Key Cryptolope Components," viewed on March 13, 2002 at < http://www-3.ibm.com/software/security/cryptolope.about.html > pp. 1-2.
JL	IBM, "OpenDoc vs. OLE 2.0: Superior by Design," IBM, January 1994, pp. 1-4.
JL	IBM, "IBM Cryptolope Technology-Executive Summary," viewed on March 13, 2002 at < http://www-3.ibm.com/software/security/cryptolope.about.html > pp. 1-3.
JL	"Imprimatur News," iIMPRIMATUR (Dec. 1998).
JL	Information Infrastructure Standards Panel: NII "The Information Superhighway," NationsBank - HGDeal - ASC X9, (undated), 15 pages.
JL	Information Systems Audit and Control Association-Montreal Chapter, "Authentification dans les environnements de traitement distributes," viewed on March 25, 2002 at < http://www.apvcsi-montreal.ca/en/publications/contact133.html > pp. 1-15.
JL	"Intellectual Property and the National Information Infrastructure, a Preliminary Draft of the Report of the Working Group on Intellectual Property Rights," Green paper, July 1994, 141 pages.
JL	"Interchange Message Specification for Debit and Credit Card Message Exchange Among Financial Institutions," American National Standard, Accredited Standards Committee X9-Financial Services Committee, ANSI X9.2-1988, American Bankers Association, May 16, 1988.
JL	International Infrastructure Standards Panel, "IISP Need #31-Containers or Secure Packaging," Electronic Publishing Research, September 18, 1995, pp. 1-3.
JL	International Infrastructure Standards Panel, "IISP Need #32-Authentication of Content," Electronic Publishing Research, September 18, 1995, pp. 1-3.
JL	International Infrastructure Standards Panel, "IISP Need #33-Control Enforcement," Electronic Publishing Research, September 18, 1995, pp. 1-3.
JL	International Infrastructure Standards Panel, "IISP Need #34-Billing and Payment," Electronic Publishing Research, September 18, 1995, pp. 1-3.
JL	International Infrastructure Standards Panel, "IISP Need #35-Reporting," Electronic Publishing Research, September 18, 1995, pp. 1-3.



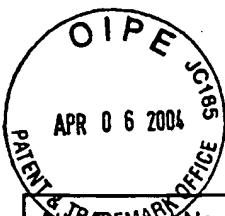
OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

RECEIVED

Atty. Docket No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		APR 13 2004
Filing Date	September 17, 1999	Group:	2132 Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	International Standard ISO8583, "Bank Card Originated Messages - Interchange Message Specifications - Content for Financial Transactions," International Organization for Standardization, August 15, 1987, pp. 1-33.
JP	"Introduction to Smart Cards v. 1.0", Gemplus Card Internatioal, March 21, 1991.
JP	"Invoice? What's an Invoice?," Business week, June 10, 1996, pp. 110-112.
JP	iOpener," Registered Trademark of National Semiconductor Corporation, Registration date October 4, 1994, 1 page.
JP	ISO 11568-1 and -2, "Key Management (retail) - Part I: Introduction to Key Management; Part II: Key Management Techniques for Symmetric Ciphers," ISO (Dec. 1, 1994).
JP	ISO 13491-1: "Secure Cryptographic Devices (retail) - Part I: Concepts, Requirements and Evaluation Methods," ISO (Jun. 15, 1998)
JP	ISO 7498/1: Open Systems Interconnection: Security Architecture (1988).
JP	ISO 7498/2: Open Systems Interconnection: Security Architecture (1988).
JP	ISO 7813-1987 Identification Cards-Financial Transaction Cards," ISO, 1987.
JP	ISO 8583-2: "Financial Transaction Card Originated Messages - Interchange Message Specifications - Part 2: Application and registration Procedures for Institution Identification Codes (IIC)," ISO (Jul. 1, 1998).
JP	ISO 8583-3: "Financial Transaction Card Originated Messages - Interchange Message Specifications - Part 3: Maintenance Procedures for Codes," ISO (Jul. 1, 1998).
JP	ISO 9564-1 & -2: "Personal Identification Number (PIN) management and security - Part I: Basic Principles and Requirements for online PIN Handling in ATM and POS Systems; & -2 Approved Algorithm(s) for PIN Encipherment," ISO (Apr. 15, 2002 & Dec. 15, 1991).
JP	ISO 9807: "Banking and related Financial Services - Requirements for Message Authentication (retail)," ISO (Dec. 15, 1991).
JP	"JUKEBOX - Music Across Borders," LIB-JUKEBOX/4-1049.
JP	"Key Management Using ANSI X9.17," Federal Information Processing Standards Publication 171, U.S. Department of Commerce (Apr. 1992).
JP	"KeyKOS Principles of Operation," Key Logic document KL002-04, 1985, Fourth Edition (Jan. 1987).
JP	Letter re: ESPIRIT III-Project 5469 (CITED) from A. Stajano at Commission of the European Communities, Oct. 7, 1993.
JP	"Mach Books," viewed on February 6, 2002 at http://www2.cs.cmu.edu/afs/cs/project/mach/public/www/doc/books.html , pp. 1-3.
JP	"Microsoft Authenticode Technology," Microsoft Corporation, Oct. 1996.
JP	Microsoft Internet Explorer v 2.0



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Atty/Agent No.	07451.0005-01000	Appln. No.	09/398,665	RECEIVED
Applicant	GINTER et al.			APR 13 2004
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Microsoft Press, OLE 2 Programmer's Reference; Volume 1, "Working with Windows Objects," 1994.
JP	Miscellaneous letter from Georges Van Slype at Bureau Van Dijk, Feb. 28, 1994.
JP	Miscellaneous Letters from Georges Van Slype at Bureau Van Dijk, Mar. 30, 1995.
JP	Miscellaneous Letters from Georges Van Slype at Bureau Van Dijk, Feb. 13, 1995 and Nov. 2, 1994.
JP	Miscellaneous Letters from Georges Van Slype at Bureau Van Dijk, Sep. 12, 1994, May 11, 1994, May 10, 1994, May 6, 1994, May 4, 1994, Apr. 21, 1994, Apr. 20, 1994..
JP	Miscellaneous Letters from Georges Van Slype at Bureau Van Dijk, Apr. 19, 1994, Apr. 18, 1994, Apr. 11, 1994, Apr. 6, 1994..
JP	Miscellaneous Letters from Georges Van Slype at Bureau Van Dijk, Mar. 30, 1994, Mar. 24, 1994, Feb. 10, 1994.
JP	Miscellaneous Letters from Georges Van Slype at Bureau Van Dijk, Feb. 9, 1994, Jan. 27, 1994, Jan. 19, 1994, Jan. 12, 1994, Dec. 22, 1993, Nov. 30, 1993, Nov. 22, 1993, Dec. 6, 1993, Nov. 16, 1993, Oct. 15, 1993, Oct. 7, 1993, Oct. 4, 1993, Sep. 20, 1993, Sep. 7, 1993, May 19, 1993, Oct. 19, 1993.
JP	Motorola MC68030 Enhanced 32-bit Microprocessor User's Manual, 2 nd Ed., Prentice-Hall, 1989.
JP	"MSDN-INF: LAN Manager 2.1 Server Autotuning (Part2)," PSS ID No. 080078, Microsoft (Feb. 1993).
JP	"MSDN-Licence Service Application Programming Interface," API Specification v1.02, Micorsoft (Jan. 1993).
JP	Multics, Home; viewed on November 12, 2001 at < http://www.multicians.org > pp. 1-3.
JP	"Multimedia Mixed Object Envelopes Supporting a Graduated Fee Scheme via Encryption"; IBM Technical Disclosure Bulletin, Vol. 37, No. 3, Mar. 1994.
JP	"Multimedia System Services Ver. 1.0", Hewlett-POackard, IBM, & SunSoft, 1993.
JP	National Computer Security Center, "Trusted Unix Working Group (TRUSIX) Rationale for Selecting Access Control List Features for the UNIX (R) System," August 18, 1989.
JP	National Institute of Standards and Technology, "History of Computer Security: Early Computer Security Papers, Part 1," viewed on September 4, 2002 at < http://csrc.nist.gov/publications/history/index.html > pp. 1-27
JP	National Security Agency, "A Guide to Understanding Security Modeling in Trusted Systems," October 1992, 122 pages.
JP	National Semiconductor "iPower Technology," (undated), 11 pages.
JP	Netscape, "SSL 2.0 Protocol Specification," viewed at http://home.netscape.com/eng/security/SSL.2html January 23, 2003.



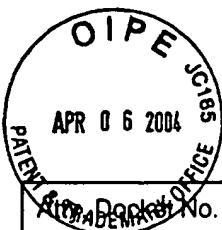
OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

RECEIVED

Atty. Docket No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		APR 13 2004
Filing Date	September 17, 1999	Group:	2132 Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JW	NIST & NSA, "Federal Criteria for Information Technology Security," Vol. II, Version 1.0, National Institute of Standards and Technology and National Security Agency, December 1992, 270 pages.
JW	"NSA Korean War 1950-1953 Commemoration," History Papers, National Security Agency, http://www.nsa.gov/korea/papers.htm , pp. 1-2.
JW	"OLE 2.0 Draft Content: Object Linking & embedding", Microsoft, June 5, 1991.
JW	"OMG Security Working Group, "OMG White Paper on Security," OMG Security Working Group, April 1994, pp. 1-24.
JW	OOPSLA 1993: Addendum to the Proceedings, "Security for Object-Oriented Systems," September 26-October 1, 1993, pp. 77-78.
JW	Open Software Foundation, <u>OSF DCE Administration Guide-Core Components</u> , PTR Prentice Hall, 1993..
JW	"Open System Environment Architectural Framework for National Information Infrastructure Services and Standards, in Support of National Class Distributed Systems,"
JW	Payment Systems; Strategic Choices for the Future," Hitatchi Research Institute; Institute of Advanced Business Systems, Hitachi, Ltd., 1993.
JW	PKCS #1:RSA Encryption Standard," RSA Laboratories Technical Note, Ver. 1.5, revised Nov. 1, 1993.
JW	PKCS #10: Certification Request Syntax Stanard," An RSA Laboratories Technical Note, Ver. 1.0, Nov. 1, 1993.
JW	PKCS #11: Cryptographic Token Interface Standard", An RSA Laboratories Technical Note, Ver. 2.0, Apr. 15, 1997.
JW	PKCS #12 v 1.0: Personal Information Exchange Syntax", RSA Laboratories, Jun. 24, 1999.
JW	PKCS #13: Elliptic Curve Crytography Standard", RSA Security, Jan. 12, 1998
JW	PKCS #15 v 1.0: Cryptographic Token Information Format Standard", RSA Laboratories, Apr. 23, 1999.
JW	PKCS #3: Duffie-Hellman Key-Agreement Standard," RSA Laboratories Technical Note, Ver. 1.4, revised Nov. 1, 1993.
JW	PKCS #5: Password-Based Encryption Standard," An RSA Laboratories Technical Note, Ver. 1.5, 1991-1993, Revised Nov. 1, 1993.
JW	PKCS #6: Extended-Certificate Syntax Standard," RSA Laboratories Technical Note, Ver. 1.5, revised Nov. 1, 1993.
JW	PKCS #8: Private-Key Information Syntax Standard," An RSA Laboratories Technical Note, Ver. 1.2, 1991-1993, Revised Nov. 1, 1993.
JW	PKCS #9: Selected Attribute Types," RSA Laboratories Technical Note, Ver. 1.1, revised Nov. 1, 1993.



INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

RECEIVED

Appl. No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132 Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JH	PowerAgent Introduces First Internet 'Infomediary' to Empower and Protect Consumers (Tech Talk Aug. 4, 1997)
JH	PowerAgent Introduces First Internet 'Infomediary' to Empower and Protect Consumers (Techmall.com Aug 4, 1997).
JH	"Privacy and the NII: Safeguarding Telecommunications - Related Personal Infrimation", U.S> Dept. of Commerce, Oct. 1995.
JH	"Proceedings: Technological Strategies for Protecting Intellectual Property in the Networked Multimedia Environment," 1994, Coalition for Networked Information, Interactive Multimedia Association, John F. Kennedy School of Government, Journal of the "Proper Use of Consumer Information on the Internet," White Paper, Power Agent Inc., Menlo Park, CA, June 1997, 9 pages.
JH	"Request for Technology: Multimedia System Services", Ver. 2.0, Interactive Multimedia Association Compatibility Project, Nov. 9, 1992.
JH	"Request for Technology: Multimedia System Services", Draft, Ver. 1.1, Interactive Multimedia Association Compatibility Project, October 16, 1992.
JH	"Requirements for the Software License Management System", System Management Work Group, Rev. 3, Unix International, July 23, 1992.
JH	ROI, Personal Library Software, 1987 or 1988.
JH	RSA Security; News; "Enterprise Solutions Announces RSA Mail," < http://rsasecurity.com/news/pr/940112-2.html >, dated January 12, 1994, pp.1-2.
JH	RSA Security; News; "General Magic Picks RSA," < http://rsasecurity.com/news/pr/940112-3.html >, dated January 12, 1994, pp. 1-2.
JH	RSA Security; News; "Hewlett-Packard Chooses RSA," < http://rsasecurity.com/news/pr/940112-5.html >, dated January 12, 1994, pp. 1-2.
JH	RSA Security; News; "iPower's Data Security Approach," < http://rsasecurity.com/news/pr/940112-10.html >, dated January 12, 1994.
JH	RSA Security; News; "RSA Enters Wireless Arena," < http://rsasecurity.com/news/pr/940112-6.html >, dated January 12, 1994, pp. 1-2.
JH	RSA Security; News; < http://rsasecurity.com/news/pr/9401.html >, dated January 12, 1994, pp. 1-2.
JH	"S/PAY: RSA's Developer's Suite for Secure Electronic transactions (SET)," RSA Data Security, Inc. (1997).
JH	Secure Computing, "Constructing a High Assurance Mail Guard," 1994, pp. 1-10.
JH	Secure Electronic Transactions; Mastercard and Visa+ C345
JH	"Security Enhanced LINUX," National Security Agency, http://www.nsa.gov/selinux/ , pp. 1-2.
JH	"Security in KeyKOS."



INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

RECEIVED

Att'n/Reb'lker No.	07451.0005-01000	Appln. No.	09/398,665	APR 13 2004
Applicant	GINTER et al.			
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JP	Sheridan Software Systems, <u>Data Widgets 2.0: Programmer's Guide</u> , Sheridan Software Systems, Inc., 1993-1995.
JP	"SIGSALY Secure Digital Voice Communications in World War II," National Security Agency, http://www.nsa.gov/wwii/papers/sigsaly.htm , October 13, 2000, pp. 1-2.
JP	Smart Card 1993 Conference Proceedings, "Day 1: Communications and Marketing Systems & Market Overview," Lowndes Exhibition Organisers, Ltd., 1993, pp. 1-79.
JP	"STARFISH State of the Art Financial Services for the in Habitants of Isolated Areas - Project Profile," Information Society technologies, time schedule (Jan. 21, 2000 - Jun. 30, 2002).
JP	StuffIt Delux v 1.5, v 3.0, v. 3.5, Alladin Systems, 1988-1994.
JP	Summaries of Projects (FP III/IV) - Part I: "ESPIRIT Project 20517 - COPYSMART CITED based multi-media IPR management on cost effective smart device," European Information technology for Information Science (Oct. 1998).
JP	Symantec Anti-Virus for Macintosh (a.k.a. SAM), Symantec, 1993.
JP	Symantec Corporation, "THINK Pascal: The Fastest Way to Finished Software," Symantec Corporation, 1990, pp. 93-123.
JP	"TALISMAN - Tracing Authors' Rights by Labeling Image Services and Monitoring Access Network," ACTS Project No. AC019, Doc Reference AC019-THO-RGS-FR-P 001-b1 (Sep. 25, 1998).
JP	"TALISMAN: Tracing Authors' Rights by Labeling Image Services and Monitoring Access Network," ACTS, Swiss Participation in European Research Programs (Sep. 1, 1995, Aug. 31, 1998).
JP	"Technical Description: Pay-Per-View Copy Protection," Macrovision, Jun. 1994.
JP	"Technical Rationale Behind CSC-STD-003-85: Computer Security Requirements," http://www.radium.ncsc.mil/tpep/library/rainbow/CSC-STD-004-85.html , June 25, 1985, pp. 1-40.
JP	"Technical Strategies for Protecting Intellectual Property in the Networked Multimedia Environment", IMA Intellectual Property Proceedings, Vol. I, Issue 1, January 1994.
JP	"TELENET TELEtraining Platform (on NETworks) - Project Profile," Information Society Technologies, time schedule (Mar. 6, 2000 - Mar. 30, 2000).
JP	"Telescript Security," BYTE.com (Oct. 1994).
JP	"The Armide Products", web page.
JP	"The Future of Cited: A Feasibility Study," ESPIRIT II, Project 5469, CITED Project Review, Apr. 15, 1994.
JP	"The PowerTV White Paper", powertv.com website, October 11, 1996.
JP	The Risks Digest, "Forum on Risks to the Public in Computers and Related Systems," Vol. 15; Issue 39, January 21, 1994, pp. 1-12



OMB No. 0651-0011

RECEIVED

INFORMATION DISCLOSURE CITATION

Appl. No.	07451.0005-01000	Appln. No.	09/398,665
Applicant	GINTER et al.		
Filing Date	September 17, 1999	Group:	2132 Technology Center 2100

APR 13 2004

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
JK	"The Standard Business:Time for Change, " European Commission DG111 Esprit Project 5 th Consensus Forum (Nov. 3-4, 1998).
JL	Think C: Object-Oriented Programming Manual, Symantec Corporation, 1989.
JP	Time-Life Books, <u>Understanding Computers: Computer Security</u> , 1986.
JL	TULIP Final Report, ISBN 0-444-82540-1, 1991, revised September 18, 1996.
JL	U.S. Department of Commerce (NIST), "Security Requirements for Cryptographic Modules," January 11, 1994, pp. 1-53.
JL	U.S. Patent and Trademark Prosecution History for National Semiconductor Corporation "iOpener" Trademark, Registration date October 4, 1994, 27 pages.TULIP Final Report,
JL	UniverCD: The InterActive, Online Library of Product Information From Cisco Systems, Cisco Systems 1993.
JL	Unix System v. Release 3.2. Programmer's Guide. Vol. II, AT&T, Prentice Hall (1989).
JL	VirusCheck and VirusScan, McAfee, 1993

Examiner	Jurian T. Durrow	Date Considered	06/24/2005
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce		



#19
6-4-04
PATENT 9M

Customer No. 22,852
Attorney Docket No. 7451.0005-01
InterTrust Ref. No.: IT-7.1 (US)

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 6, 2004. Express Mail Label Nos.: EV 398888008 US

Signed: Cindy Baglietto
Cindy Baglietto

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GINTER et al.

Application No.: 09/398,665

Filed: September 17, 1999

For: DIGITAL CERTIFICATE
SUPPORT SYSTEM, METHODS
AND TECHNIQUES FOR SECURE
ELECTRONIC COMMERCE
TRANSACTION AND RIGHTS
MANAGEMENT (as amended)

)
)
)
)
)
)
)

Group Art Unit: 2132

Examiner: DARROW, Justin T.

RECEIVED

APR 13 2004

Technology Center 2100

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE UNDER 37 C.F.R. § 1.56:
NOTICE OF INTERFERENCE

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants submit this Information Disclosure/IDS to bring to the attention of the Examiner the information below. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p); please charge this fee to our Deposit Account No. 06-0916. This submission is being filed after the events

04/09/2004 LWONDIM1 00000047 09398665

01 FC:1806 180.00 DA

Jurth T. Darro

06/24/2005

recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance.

Applicants hereby advise the Examiner that U.S. Pat. Appln. No. 09/411,205 ("the Ginter '205 application"), assigned to InterTrust Technologies Corporation, has become involved in an interference, entitled Benson v. Ginter, Patent Interference No. 105,142. Applicants enclose a copy of the Notice Declaring Interference, dated September 4, 2003 (Exhibit A), as well as attachments to the Notice.

The interference has been declared between the Ginter '205 application and U.S. Patent No. 5,845,281 to Benson (Benson I), U.S. Patent Appln. No. 09/164,606 to Benson (Benson II), and U.S. Patent Appln. No. 09/321,386 to Benson (Benson III). A copy of Benson I is attached as Exhibit B, while Exhibits C and D are listings of the claims of Benson II and III. The instant application and Ginter '205 both claim priority from the same ancestor application, Appln No. 08/388,107. The claims of the involved Ginter '205 application are attached as Exhibit E. The three Counts in the interference are described in Part F of the Notice (Exhibit A) and again in the Examiner's Interference Memorandum and accompanying comments, attached hereto as Exhibit F.

A second interference involving Macrovision and InterTrust was declared on December 18, 2003. A copy of the Notice is attached hereto as Exhibit G. Interference No. 105,193 involved InterTrust patents U.S. Pat Nos. 5,920,861; 5,982,891; 6,138,119; and 6,253,193, and Benson patent applications Benson II and III. The instant application and U.S. Patent Nos. 5,982,891 and 6,253,193 all claim the benefit of priority of the same parent application, Appln No. 08/388,107.

The co-pending subject application is also assigned to InterTrust and may claim related subject matter to the involved Ginter '205 application. In addition, the co-pending subject application may be related to the Ginter '205 application by: 1) claiming the benefit of priority of the great-grandparent application of the Ginter '205 application, namely Appln. No. 08/388,107; 2) incorporating by reference the Ginter '205 application or any of its parent applications; and/or 3) containing statements that it is or may be related to the Ginter '205 application or any of its parent applications.

If there is any additional fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: April 6, 2004

By: *Andy Schwaab*
Andrew B. Schwaab
Reg. No. 38,611

Finnegan Henderson Farabow
Garrett & Dunner LLP
1300 I Street, N.W.
Washington, D.C. 20005-3315
(202) 408-4000